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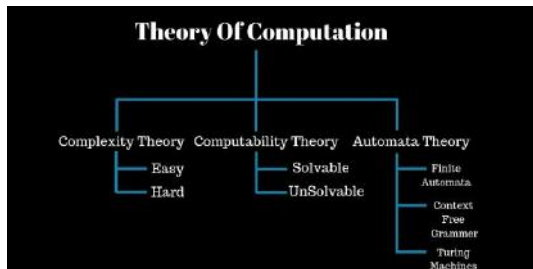
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References

# Theory of Computation: Introduction

- ▶ **Theory of Computation** is the branch of Computer Science which deals with how efficiently problems can be solved on **model of computation** using an **algorithm**
- ▶ The domain is further classified into 3 sub-domains:
  - ▶ Automata theory and languages
  - ▶ Computability theory
  - ▶ Complexity theory



# Preliminaries

- ▶ Propositions (or Statements)
- ▶ Connectives (Propositional connectives or Logical connectives)
  - ▶ NOT (Negation)  $\neg P$
  - ▶ AND (Conjunction)  $P \wedge Q$
  - ▶ OR (Disjunction)  $P \vee Q$
  - ▶ If.. Then... (Implication)
  - ▶ If and only If
- ▶ **Tautology**- A tautology or a universally true formula is a well defined formula whose truth value is  $T$  for all possible assignments of truth values to the propositional variables.  
**Example**-  $P \vee \neg P$
- ▶ **Contradiction**- A contradiction (or absurdity) is well formed formula whose truth value is  $F$  for all possible assignments of truth values to proposition variables.  
**Example**-  $P \wedge \neg P$
- ▶ Equivalence

# Preliminaries

- ▶ **Equivalence-** Two well formed  $\alpha$  and  $\beta$  in propositional variables  $P_1, P_2, \dots, P_n$  are equivalent (or logically equivalent) if the formula  $\alpha \leftrightarrow \beta$  is a tautology.

## Example

$$(P \implies (Q \vee R)) \equiv ((P \implies Q) \vee (P \implies R))$$

# Preliminaries

## ▶ Logical Identities

▶ Idempotent laws-  $P \vee P \equiv P, P \wedge P \equiv P$

▶ Commutative laws-  $P \vee Q \equiv Q \vee P, P \wedge Q \equiv Q \wedge P$

▶ Associative laws-  $P \vee (Q \vee R) \equiv (P \vee Q) \vee R$

$$P \wedge (Q \wedge R) \equiv (P \wedge Q) \wedge R$$

▶ Distributive laws-  $P \vee (Q \wedge R) \equiv (P \vee Q) \wedge (P \vee R)$

$$P \wedge (Q \vee R) \equiv (P \wedge Q) \vee (P \wedge R)$$

▶ Absorption laws-  $P \wedge (P \vee Q) \equiv P$

$$P \vee (P \wedge Q) \equiv P$$

▶ De-morgan's Laws-  $\neg(P \vee Q) \equiv \neg P \wedge \neg Q$

$$\neg(P \wedge Q) \equiv \neg P \vee \neg Q$$

▶ Contrapositive-  $P \Rightarrow Q \equiv \neg Q \Rightarrow \neg P$

$$P \Rightarrow Q \equiv \neg P \vee Q$$

▶ Double negation-  $P \equiv \neg(\neg P)$



## Questions

- ▶ Show that:

$$(P \wedge Q) \vee (P \wedge \neg Q) \equiv P$$

- ▶ Show that:

$$(P \implies Q) \wedge (R \implies Q) \equiv (P \vee R) \implies Q$$

# Mathematical Preliminaries

## Set:

- ▶ A **set** is well defined collection of objects.

**Example**-Set of all students in ASET,  
Collection of all books in library.

- ▶ Individual objects are called **Members or Elements** of the set.
- ▶ Capital letter usually represent Set such as  $A, B, C, \dots$
- ▶ Small letters represent Elements of any set such as  $a, b, c, \dots$
- ▶ If  $a$  is an element of set  $A \implies a \in A$
- ▶ Ways of describing set
  - ▶ **Listing its element** with no repetition  
Example:  $\{15, 30, 45, 60, 75, 90\}$
  - ▶ **Describing properties of elements of set**  
Example:  $\{n \mid n \text{ is a positive integer divisible by } 15 \text{ and less than } 100\}$
  - ▶ **By recursion**  
Example: Set of all natural numbers leaving remainder 1 when divided by 3 can be written as  
 $\{a_n \mid a_0 = 1, a_{n+1} = a_n + 3\}$

# Mathematical Preliminaries

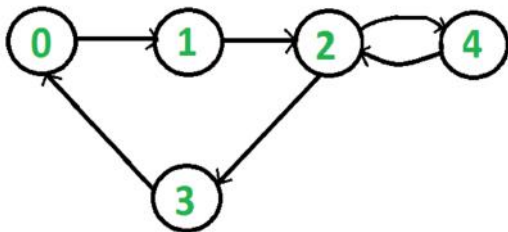
## Subsets and Operations on Sets

- ▶ A set  $A$  is said to be subset of  $B$  i.e.  $(A \subseteq B)$ , if every element of  $A$  is also an element of  $B$ .
- ▶ If two sets  $A$  and  $B$  are *equal* i.e.  $(A = B)$   
 $\implies A \subseteq B$  and  $B \subseteq A$ .
- ▶ **Empty set:** A set with no elements.
- ▶ **Operations on sets:**
  - ▶  $A \cup B$ :  $\{x \mid x \in A \text{ or } x \in B\}$  called union of  $A$  and  $B$ .
  - ▶  $A \cap B$ :  $\{x \mid x \in A \text{ and } x \in B\}$  called intersection of  $A$  and  $B$ .
  - ▶  $A - B$ :  $\{x \mid x \in A \text{ and } x \notin B\}$  called complement of  $B$  in  $A$ .

# Mathematical Preliminaries

## Graph

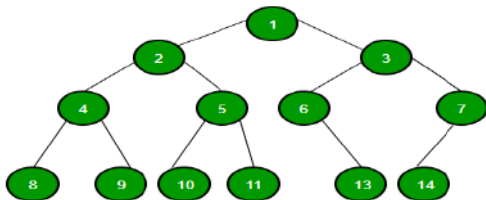
- ▶ A graph (or undirected graph) consists of:
  - ▶ a non-empty set  $V$  of **vertices**
  - ▶ a set  $E$  called set of **edges**.
  - ▶ a **map**  $\phi$  which assigns to every edge a unique unordered pair of vertices.
- ▶ A **directed graph** or (digraph) consists of
  - ▶ a non-empty set  $V$  of **vertices**
  - ▶ a set  $E$  called set of **edges**
  - ▶ a **map**  $\phi$  which assigns to every edge a unique ordered pair of vertices.



# Mathematical Preliminaries

## Tree

- ▶ A graph is called a tree if it is connected and has no circuits



- ▶ Properties of tree:
  - ▶ A tree is connected **graph with no circuits** or loops
  - ▶ there is **one and only one path** between every pair of vertices.
  - ▶ if a connected graph has  $n$  **vertices**  $\implies$  **has  $n - 1$  edges**, implies a tree

# Automata

- ▶ **Automata** is defined as a system where energy, material and information are transformed, transmitted and used for performing some function without direct human participation.
- ▶ **Example:** Automatic machine tools, automatic packing machines, automatic photoprinting machines



Figure: Automatic machine tools



Figure: Automatic photoprinting machine

# Discrete Automata

- ▶ Model of discrete automaton:

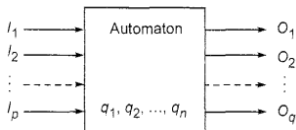


Figure: Model of a discrete automaton

- ▶ Characteristics of Automata

- ▶ **Input**-At a discrete instant of **time**  $t_1, t_2, \dots, t_m$ , **input values**  $I_1, I_2, \dots, I_p$  take finite number of fixed values from **input alphabet**  $\Sigma$  are applied as **input to model**.
- ▶ **Output**-  $O_1, O_2, \dots, O_q$  are output of model, each of which can take finite number of fixed values from an Output.
- ▶ **States**- At any instant of time, the automaton can be in one of the states  $q_1, q_2, \dots, q_n$
- ▶ **State relation**- The next state of an automaton at any instant of time is determined by present state and present input.
- ▶ **Output relation**- On **reading** an input symbol, automaton moves to next state which is given by state relation.

# Discrete Automata

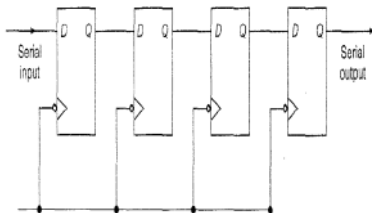
- ▶ **Automaton without Memory:** An automata in which the output depends only on input.
- ▶ **Automaton with finite Memory:** An automaton in which the output depends on states as well as input.
  - ▶ **Moore Machine:** An automaton in which the output depends only on states of machine.
  - ▶ **Mealy Machine:** An automaton in which output depends on the state as well as on the input at any instant of time.



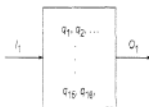
# Discrete Automata

Any sequential machine behaviour can be represented by an automaton.

**Example:** Consider a 4-bit serial shift register as a finite state machine.



- ▶  $2^4 = 16$  states (0000, 0001, ..., 1111)
- ▶ 1 serial Input and 1 serial output
- ▶ Input alphabet,  $\Sigma = \{0,1\}$
- ▶ Can be represented as



- ▶ Here, output depends on both Input and state  $\therefore$  **Mealy machine.**

# Finite Automaton

- ▶ A finite automaton can be represented by a **finite 5-tuple**  $(Q, \Sigma, \delta, q_0, F)$ , where:
  - ▶  $Q$  is a finite non-empty set of **states**.
  - ▶  $\Sigma$  is a finite non-empty set of inputs called **Input** alphabet.
  - ▶  $\delta$  is a function which maps  $Q \times \Sigma \rightarrow Q$  called **Direct transition function**  
It describes change of states during transition.  
It is represented by transition table \ diagram.
  - ▶  $q_0 \in Q$  is **Initial state**
  - ▶  $F \subseteq Q$  is the set of **Final states**
- ▶ The transition function which maps  $Q \times \Sigma^*$  into  $Q$  is called **Indirect transition function**.

# Finite Automata

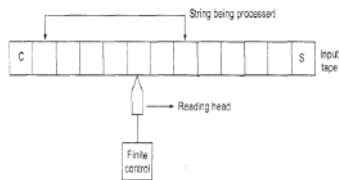


Figure: Block diagram of Finite Automata

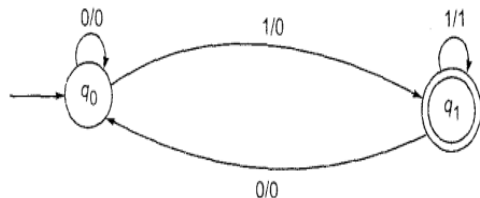
- ▶ **Input tape:** Each square contains a single symbol from input alphabet  $\Sigma$ .
  - ▶ **C** and **S** are end markers of Tape
  - ▶ Absence of end markers indicates that the tape is of infinite length
- ▶ **Reading Head:** Examines only 1  $\square$  at a time
  - ▶ can move from Left  $\rightarrow$  Right or Right  $\rightarrow$  Left
- ▶ **Finite Control:** Input to a finite control will usually be a symbol under read head.

*Following Outputs:*

- ▶ A motion to R-head along the tape on next

# Transition Systems

- ▶ A transition system or transition graph is **finite directed labelled graph** in which each vertex (node) is represented by a state and edges are labelled with **input/output**.



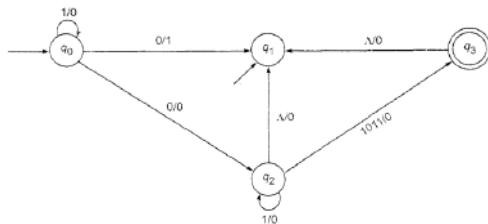
- ▶ A transition system is a 5-tuple  $(Q, \Sigma, \delta, Q_0, F)$  where:
  - ▶  $Q, \Sigma, F$  are finite non-empty set of states, input alphabet and set of final states respectively.
  - ▶  $Q_0 \subseteq Q$  and is non-empty
  - ▶  $\delta$  is a finite subset of  $Q \times \Sigma^* \times Q$

# Transition system

- ▶ A transition system accepts a string  $w$  in  $\Sigma^*$  if:
  - ▶ There exists a path which originates from some initial state, goes along the arrows and terminates at some final state, and
  - ▶ The path value obtained by concatenation of all edge-labels of the path is equal to  $w$

## Example

Consider the given transition system:



Determine the initial states, final states and acceptability of 101011, 111010.

Initial states:  $q_0$  and  $q_1$ ; Final State:  $q_3$

Path value  $q_0q_0q_2q_3$  for 101011  $\implies$  **accepted by system**

But, 111010 not accepted

# Transition function

- ▶ Every finite automaton  $(Q, \Sigma, \delta, q_0, F)$  can be viewed as a transition system  $(Q, \Sigma, \delta', Q_0, F)$  if we take  $Q_0 = \{q_0\}$  and  $\delta' = \{(q, w, \delta(q, w)) \mid q \in Q, w \in \Sigma^*\}$
- ▶ But, a **transition system need not be a finite automaton**.
- ▶ **Example:** *A transition system may contain more than one initial state.*
- ▶ **Properties of Transition Functions:**
  1.  $\delta(q, \wedge) = q$  is a finite automaton  
 $\implies$  State of the system can be changed only by an input symbol.
  2. For all strings  $w$  and input symbol  $a$ :  
$$\delta(q, aw) = \delta(\delta(q, a), w)$$
$$\delta(q, wa) = \delta(\delta(q, w), a)$$

## Exercise:

Prove that for any transition function  $\delta$  and for any two input string  $x$  and  $y$

$$\delta(q, xy) = \delta(\delta(q, x), y)$$

# Acceptability of a String by a finite automaton

- ▶ A string 'x' is accepted by a finite automaton  $M = (Q, \Sigma, \delta, q_0, F)$  if  $\delta(q_0, x) = q$  for some  $q \in F$

## Example

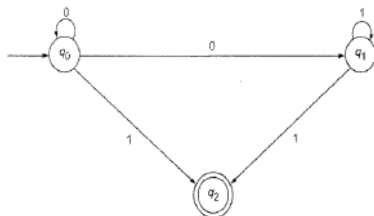
Consider the finite state machine whose transition function  $\delta$  is given below in form of a transition table. Here,  $Q = \{q_0, q_1, q_2, q_3\}$ ,  $\Sigma = \{0, 1\}$ ,  $F = \{q_0\}$ .  
Give the entire sequence of states for the input string 110101.

State	Input	
	0	1
$\rightarrow q_0$	$q_2$	$q_1$
$q_1$	$q_3$	$q_0$
$q_2$	$q_0$	$q_3$
$q_3$	$q_1$	$q_2$

$$\begin{aligned}\text{Answer: } \delta(q_0, 110101) &= \delta(q_1, 10101) \\ &= \delta(q_0, 0101) \\ &= \delta(q_2, 101) \\ &= \delta(q_3, 01) \\ &= \delta(q_1, 1) \\ &= \delta(q_0, \wedge) \\ &= q_0\end{aligned}$$

Hence,  $q_0 \xrightarrow{1} q_1 \xrightarrow{1} q_0 \xrightarrow{0} q_2 \xrightarrow{1} q_3 \xrightarrow{0} q_1 \xrightarrow{1} q_0$  **Accepted**

# Non-Deterministic Finite State Machines

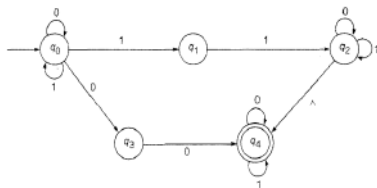


- ▶ A non-deterministic finite automaton (NFA) is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where
  - $Q$  is a finite non-empty set of states
  - $\Sigma$  is a finite non-empty set of inputs
  - $\delta$  is a transition function mapping from  $Q \times \Sigma$  into  $2^Q$  which is power set of  $Q$ , the set of all subsets of  $Q$
  - $q_0 \in Q$  is the initial state
  - $F \subseteq Q$  is the set of final states.

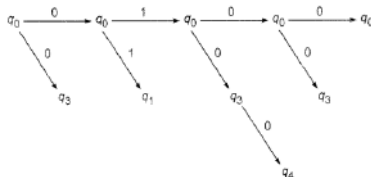


# Non-Deterministic Finite State Machines

- ▶ Consider a Non-deterministic automaton as under:



Determine the sequence of states for input string 0100



$$\delta(q_0, 0100) = \{q_0, q_3, q_4\}$$

Since  $q_4$  is the final state.  $\therefore$  input string 0100 is accepted by the system.

- ▶ A string  $w \in \Sigma^*$  is accepted by NFA "M". If  $\delta(q_0, w)$  contains some final state.

# Equivalence of DFA and N DFA

- ▶ A DFA can simulate the behaviour of N DFA by increasing the number of states
- ▶ **DFA**  $(Q, \Sigma, \delta, q_0, F)$  can be viewed as **N DFA**  $(Q, \Sigma, \delta', q_0, F)$
- ▶ Any N DFA is a more general machine without being more powerful.  
 $\implies$  For every N DFA, there exists a DFA which simulates the behaviour of N DFA. **Alternatively, if  $L$  is a set accepted by N DFA, then there exists a DFA which also accepts  $L$ .**

## Example

Construct a deterministic automaton equivalent to  $M = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{q_0\})$  where  $\delta$  is defined as under:

State	Input	
	0	1
$\rightarrow q_0$	$q_0$	$q_1$
$q_1$	$q_1$	$q_0, q_1$

# NDFA $\rightarrow$ DFA

## Example 1

Construct a deterministic automaton equivalent to  $M = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{q_0\})$  where  $\delta$  is defined as under:

State	Input	
	0	1
$\rightarrow q_0$	$q_0$	$q_1$
$q_1$	$q_1$	$q_0, q_1$

**Solution:** For the deterministic automaton  $M_1$ :

- ▶ The states are subsets of  $\{q_0, q_1\}$   
 $\implies \phi, [q_0], [q_1], [q_0, q_1]$
- ▶  $[q_0]$  is initial state.
- ▶  $[q_0]$  and  $[q_0, q_1]$  are final states as these are the only states containing  $q_0$
- ▶  $\delta$  is defined by state table as under:

State	Input	
	0	1
$[\phi]$	$[\phi]$	$[\phi]$
$[q_0]$	$[q_0]$	$[q_1]$
$[q_1]$	$[q_1]$	$[q_0, q_1]$
$[q_0, q_1]$	$[q_0, q_1]$	$[q_0, q_1]$

# NDFA $\rightarrow$ DFA

## Example 2

Find a deterministic acceptor equivalent to:

$M = (\{q_0, q_1, q_2\}, \{a, b\}, \delta, q_0, \{q_2\})$

where  $\delta$  is given by

State	Input	
	a	b
$\rightarrow q_0$	$q_0, q_1$	$q_2$
$q_1$	$q_0$	$q_1$
$\textcircled{q_2}$	$\phi$	$q_0, q_1$

**Solution:** The deterministic automaton  $M_1$  equivalent to  $M$  is defined as follows:

$M_1 = (2^Q, \{a, b\}, \delta, [q_0], F')$

where,  $F' = \{[q_2], [q_0, q_2], [q_1, q_2], [q_0, q_1, q_2]\}$

State	Input	
	a	b
$[q_0]$	$[q_0, q_1]$	$[q_2]$
$[q_1]$	$[q_0]$	$[q_1]$
$[q_2]$	$\phi$	$[q_0, q_1]$
$[q_0, q_1]$	$[q_0, q_1]$	$[q_1, q_2]$
$[q_1, q_2]$	$[q_0]$	$[q_0, q_1]$

# NDFA $\rightarrow$ DFA

## Example 3

Construct a deterministic finite automaton equivalent to

$$M = (\{q_0, q_1, q_2, q_3\}, \{a, b\}, \delta, q_0, \{q_3\})$$

where  $\delta$  is as under:

State	Input	
	a	b
$\rightarrow q_0$	$q_0, q_1$	$q_0$
$q_1$	$q_2$	$q_1$
$q_2$	$q_3$	$q_3$
$\textcircled{q_3}$		$q_2$

**Solution:** Let  $Q = \{q_0, q_1, q_2, q_3\}$ , then the deterministic automaton  $M_1$  equivalent to  $M$  is given by  $M_1 = (2^Q, \{a, b\}, \delta, [q_0], F)$

where,  $F$  consists of:

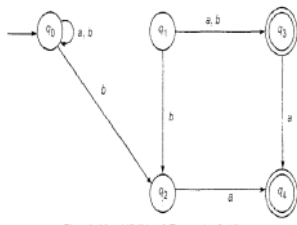
$$\{[q_3], [q_0, q_3], [q_1, q_3], [q_2, q_3], [q_0, q_1, q_3], [q_0, q_2, q_3], [q_1, q_2, q_3], [q_0, q_1, q_2, q_3]\}$$

and  $\delta$  is defined by state table as under:

State	Input	
	a	b
$[q_0]$	$[q_0, q_1]$	$[q_0]$
$[q_0, q_1]$	$[q_0, q_1, q_2]$	$[q_0, q_1]$
$[q_0, q_1, q_2]$	$[q_0, q_1, q_2, q_3]$	$[q_0, q_1, q_3]$
$[q_0, q_1, q_3]$	$[q_0, q_1, q_2]$	$[q_0, q_1, q_2]$
$[q_0, q_1, q_2, q_3]$	$[q_0, q_1, q_2, q_3]$	$[q_0, q_1, q_2, q_3]$

# NDFA $\rightarrow$ DFA

1. Construct a DFA equivalent to NDFA 'M' whose transition diagram is given as:



2. Construct a DFA equivalent to NDFA with initial state  $q_0$  whose transition table is defined as

State	a	b
$q_0$	$q_1, q_3$	$q_2, q_3$
$q_1$	$q_1$	$q_3$
$q_2$	$q_3$	$q_2$
$q_3$	—	—

# Constructing required DFA

## Example 1

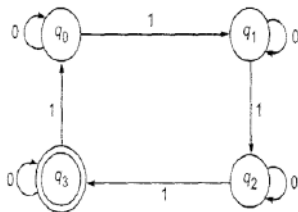
Construct a DFA accepting all strings 'w' over  $\{0,1\}$  such that the number of 1's in 'w' is  $3 \pmod{4}$ .

**Solution:** Let the required DFA, as the condition on strings of  $T(M)$  doesn't at all involve 0,

$\implies$  M doesnot change the state on input 0.

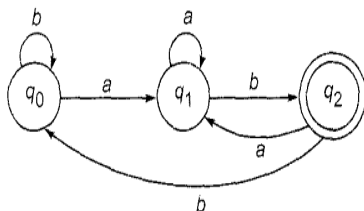
If 1 appears in w  $(4k+3)$  times, M can come back to initial state, after reading 4 1's and to a final state after reading 3 1's.

The required DFA:



# Constructing required DFA

1. Construct a DFA accepting all strings over  $\{a,b\}$  ending in  $ab$ .



2. Construct a DFA equivalent to NFA for:

State	Input		
	0	1	$\wedge$
$\rightarrow q_0$	$q_0, q_3$	$q_0, q_1$	
$q_1$	$q_2$		
$q_2$	$q_2$	$q_2$	$q_4$
$q_3$	$q_4$		
$\textcircled{q_4}$	$q_4$	$q_4$	



## Constructing required DFA

1.  $M = (\{q_1, q_2, q_3\}, \{0, 1\}, \delta, q_1, \{q_3\})$  is a NFA where  $\delta$  is given by:

$$\delta(q_1, 0) = \{q_2, q_3\}$$

$$\delta(q_1, 1) = \{q_1\}$$

$$\delta(q_2, 0) = \{q_1, q_2\}$$

$$\delta(q_2, 1) = \phi$$

$$\delta(q_3, 0) = \{q_2\}$$

$$\delta(q_3, 1) = \{q_1, q_2\}$$

Construct equivalent DFA.

# Finite Automata with Outputs

- ▶ **Moore Machine** is a 6-tuple  $(Q, \Sigma, \Delta, \delta, \lambda, q_0)$   
where  $Q$  is a finite set of states
  - $\Sigma$  is the input alphabet
  - $\Delta$  is the output alphabet
  - $\delta$  is the transition function  $Q \times \Sigma$  into  $Q$
  - $\lambda$  is the output function  $Q$  into  $\Delta$
  - $q_0$  is the initial state

## Example:

Initial state  $q_0$  is marked with an arrow. The table defines  $\delta$  and  $\lambda$ :

Present State	Next State		Output $\lambda$
	a=0	a=1	
$\rightarrow q_0$	$q_3$	$q_1$	0
$q_1$	$q_1$	$q_2$	1
$q_2$	$q_2$	$q_3$	0
$q_3$	$q_3$	$q_0$	0

Determine transition states and output string for input string 0111.

**Solution:** Transition states:

$q_0 \xrightarrow{0 \setminus 0} q_3 \xrightarrow{1 \setminus 0} q_0 \xrightarrow{1 \setminus 0} q_1 \xrightarrow{1 \setminus 1} q_2 \ 0$

OutputString: 00010

# Finite Automata with Outputs

- ▶ **Mealy Machine** is a 6-tuple  $(Q, \Sigma, \Delta, \delta, \lambda, q_0)$   
where  $Q$  is a finite set of states  
 $\Sigma$  is the input alphabet  
 $\Delta$  is the output alphabet  
 $\delta$  is the transition function  $Q \times \Sigma$  into  $Q$   
 $\lambda$  is the output function mapping  $Q \times \Sigma$  into  $\Delta$   
 $q_0$  is the initial state

## Example:

Consider a mealy machine for  $q_1$  as initial state.

Present State	a=0		a=1	
	State	Output	State	Output
$\rightarrow q_1$	$q_3$	0	$q_2$	0
$q_2$	$q_1$	1	$q_4$	0
$q_3$	$q_2$	1	$q_1$	1
$q_4$	$q_4$	1	$q_3$	0

Determine the transition of states and corresponding output string for input string 0011.

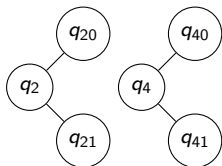
**Solution:**  $q_1 \xrightarrow{0\backslash 0} q_3 \xrightarrow{0\backslash 1} q_2 \xrightarrow{1\backslash 0} q_4 \xrightarrow{1\backslash 0} q_3$

Output String: 0100

## Procedure of transforming Mealy machine into Moore machine

- Consider the mealy machine described by given transition table. Construct a moore machine which is equivalent to given mealy machine.

Present State	a=0		a=1	
	State	Output	State	Output
→ $q_1$	$q_3$	0	$q_2$	0
$q_2$	$q_1$	1	$q_4$	0
$q_3$	$q_2$	1	$q_1$	1
$q_4$	$q_4$	1	$q_3$	0



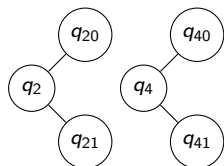
- Solution:**

Present State	a=0		a=1	
	State	Output	State	Output
→ $q_1$				
$q_{20}$				
$q_{21}$				
$q_3$				
$q_{40}$				
$q_{41}$				

## Procedure of transforming Mealy machine into Moore machine

- Consider the mealy machine described by given transition table. Construct a Moore machine which is equivalent to given mealy machine.

Present State	a=0		a=1	
	State	Output	State	Output
→ $q_1$	$q_3$	0	$q_2$	0
$q_2$	$q_1$	1	$q_4$	0
$q_3$	$q_2$	1	$q_1$	1
$q_4$	$q_4$	1	$q_3$	0



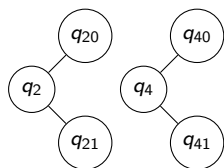
- Solution:**

Present State	a=0		a=1	
	State	Output	State	Output
→ $q_1$	$q_3$	0	$q_{20}$	0
$q_{20}$	$q_1$	1	$q_{40}$	0
$q_{21}$	$q_1$	1	$q_{40}$	0
$q_3$	$q_{21}$	1	$q_1$	1
$q_{40}$	$q_{41}$	1	$q_3$	0
$q_{41}$	$q_{41}$	1	$q_3$	0

## Procedure of transforming Mealy machine into Moore machine

- Consider the mealy machine described by given transition table. Construct a moore machine which is equivalent to given mealy machine.

Present State	a=0		a=1	
	State	Output	State	Output
$\rightarrow q_1$	$q_3$	0	$q_2$	0
$q_2$	$q_1$	1	$q_4$	0
$q_3$	$q_2$	1	$q_1$	1
$q_4$	$q_4$	1	$q_3$	0



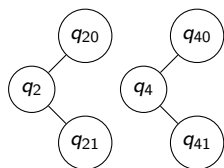
- Solution:**

Present	a=0	a=1	Output
$q_1$	$q_3$	$q_{20}$	
$q_{20}$	$q_1$	$q_{40}$	
$q_{21}$	$q_1$	$q_{40}$	
$q_3$	$q_{21}$	$q_1$	
$q_{40}$	$q_{41}$	$q_3$	
$q_{41}$	$q_{41}$	$q_3$	

## Procedure of transforming Mealy machine into Moore machine

- Consider the mealy machine described by given transition table. Construct a moore machine which is equivalent to given mealy machine.

Present State	a=0		a=1	
	State	Output	State	Output
$\rightarrow q_1$	$q_3$	0	$q_2$	0
$q_2$	$q_1$	1	$q_4$	0
$q_3$	$q_2$	1	$q_1$	1
$q_4$	$q_4$	1	$q_3$	0



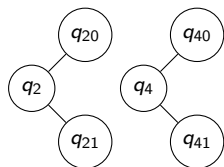
- Solution:**

Present	a=0	a=1	Output
$q_1$	$q_3$	$q_{20}$	1
$q_{20}$	$q_1$	$q_{40}$	
$q_{21}$	$q_1$	$q_{40}$	
$q_3$	$q_{21}$	$q_1$	
$q_{40}$	$q_{41}$	$q_3$	
$q_{41}$	$q_{41}$	$q_3$	

## Procedure of transforming Mealy machine into Moore machine

- Consider the mealy machine described by given transition table. Construct a moore machine which is equivalent to given mealy machine.

Present State	a=0		a=1	
	State	Output	State	Output
$\rightarrow q_1$	$q_3$	0	$q_2$	0
$q_2$	$q_1$	1	$q_4$	0
$q_3$	$q_2$	1	$q_1$	1
$q_4$	$q_4$	1	$q_3$	0



- Solution:**

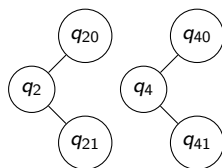
Present	a=0	a=1	Output
$q_1$	$q_3$	$q_{20}$	1
$q_{20}$	$q_1$	$q_{40}$	0
$q_{21}$	$q_1$	$q_{40}$	
$q_3$	$q_{21}$	$q_1$	
$q_{40}$	$q_{41}$	$q_3$	
$q_{41}$	$q_{41}$	$q_3$	



## Procedure of transforming Mealy machine into Moore machine

- Consider the mealy machine described by given transition table. Construct a moore machine which is equivalent to given mealy machine.

Present State	a=0		a=1	
	State	Output	State	Output
$\rightarrow q_1$	$q_3$	0	$q_2$	0
$q_2$	$q_1$	1	$q_4$	0
$q_3$	$q_2$	1	$q_1$	1
$q_4$	$q_4$	1	$q_3$	0



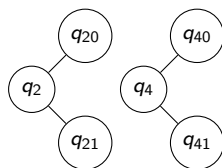
- Solution:**

Present	a=0	a=1	Output
$q_1$	$q_3$	$q_{20}$	1
$q_{20}$	$q_1$	$q_{40}$	0
$q_{21}$	$q_1$	$q_{40}$	1
$q_3$	$q_{21}$	$q_1$	
$q_{40}$	$q_{41}$	$q_3$	
$q_{41}$	$q_{41}$	$q_3$	

## Procedure of transforming Mealy machine into Moore machine

- Consider the mealy machine described by given transition table. Construct a Moore machine which is equivalent to given mealy machine.

Present State	a=0		a=1	
	State	Output	State	Output
$\rightarrow q_1$	$q_3$	0	$q_2$	0
$q_2$	$q_1$	1	$q_4$	0
$q_3$	$q_2$	1	$q_1$	1
$q_4$	$q_4$	1	$q_3$	0



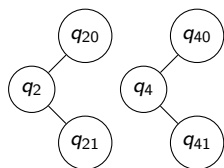
- Solution:**

Present	a=0	a=1	Output
$q_1$	$q_3$	$q_{20}$	1
$q_{20}$	$q_1$	$q_{40}$	0
$q_{21}$	$q_1$	$q_{40}$	1
$q_3$	$q_{21}$	$q_1$	0
$q_{40}$	$q_{41}$	$q_3$	
$q_{41}$	$q_{41}$	$q_3$	

## Procedure of transforming Mealy machine into Moore machine

- Consider the mealy machine described by given transition table. Construct a moore machine which is equivalent to given mealy machine.

Present State	a=0		a=1	
	State	Output	State	Output
$\rightarrow q_1$	$q_3$	0	$q_2$	0
$q_2$	$q_1$	1	$q_4$	0
$q_3$	$q_2$	1	$q_1$	1
$q_4$	$q_4$	1	$q_3$	0



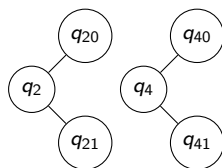
- Solution:**

Present	a=0	a=1	Output
$q_1$	$q_3$	$q_{20}$	1
$q_{20}$	$q_1$	$q_{40}$	0
$q_{21}$	$q_1$	$q_{40}$	1
$q_3$	$q_{21}$	$q_1$	0
$q_{40}$	$q_{41}$	$q_3$	0
$q_{41}$	$q_{41}$	$q_3$	

## Procedure of transforming Mealy machine into Moore machine

- Consider the mealy machine described by given transition table. Construct a moore machine which is equivalent to given mealy machine.

Present State	a=0		a=1	
	State	Output	State	Output
$\rightarrow q_1$	$q_3$	0	$q_2$	0
$q_2$	$q_1$	1	$q_4$	0
$q_3$	$q_2$	1	$q_1$	1
$q_4$	$q_4$	1	$q_3$	0



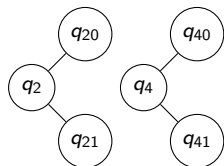
- Solution:**

Present	a=0	a=1	Output
$q_1$	$q_3$	$q_{20}$	1
$q_{20}$	$q_1$	$q_{40}$	0
$q_{21}$	$q_1$	$q_{40}$	1
$q_3$	$q_{21}$	$q_1$	0
$q_{40}$	$q_{41}$	$q_3$	0
$q_{41}$	$q_{41}$	$q_3$	1

## Procedure of transforming Mealy machine into Moore machine

- Consider the mealy machine described by given transition table. Construct a moore machine which is equivalent to given mealy machine.

Present State	a=0		a=1	
	State	Output	State	Output
→ $q_1$	$q_3$	0	$q_2$	0
$q_2$	$q_1$	1	$q_4$	0
$q_3$	$q_2$	1	$q_1$	1
$q_4$	$q_4$	1	$q_3$	0

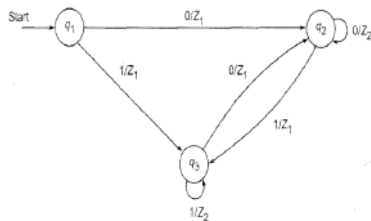


- Solution:**

Present	a=0	a=1	Output
→ $q_0$	$q_3$	$q_{20}$	0
$q_1$	$q_3$	$q_{20}$	1
$q_{20}$	$q_1$	$q_{40}$	0
$q_{21}$	$q_1$	$q_{40}$	1
$q_3$	$q_{21}$	$q_1$	0
$q_{40}$	$q_{41}$	$q_3$	0
$q_{41}$	$q_{41}$	$q_3$	1

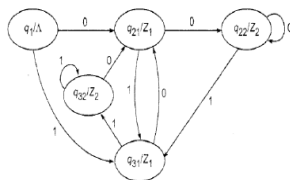
## Procedure of transforming Mealy machine into Moore machine

Convert the given mealy machine into equivalent moore machine

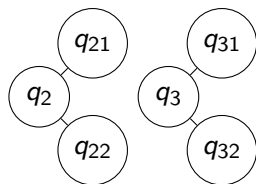
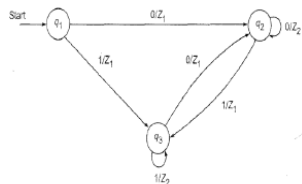


Present state	Next state			
	a = 0		a = 1	
	state	output	state	output
→q <sub>1</sub>	q <sub>2</sub>	Z <sub>1</sub>	q <sub>3</sub>	Z <sub>1</sub>
q <sub>2</sub>	q <sub>2</sub>	Z <sub>2</sub>	q <sub>3</sub>	Z <sub>1</sub>
q <sub>3</sub>	q <sub>2</sub>	Z <sub>1</sub>	q <sub>3</sub>	Z <sub>2</sub>

Present state	Next state		Output
	a = 0		
	a = 0	a = 1	
→q <sub>1</sub>	q <sub>21</sub>	q <sub>31</sub>	
q <sub>21</sub>	q <sub>22</sub>	q <sub>31</sub>	Z <sub>1</sub>
q <sub>22</sub>	q <sub>22</sub>	q <sub>31</sub>	Z <sub>2</sub>
q <sub>31</sub>	q <sub>21</sub>	q <sub>32</sub>	Z <sub>1</sub>
q <sub>32</sub>	q <sub>21</sub>	q <sub>32</sub>	Z <sub>2</sub>



## Procedure of transforming Mealy machine into Moore machine

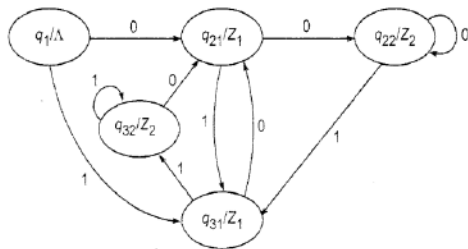


Present State	a=0		a=1	
	state	Output	State	Output
→ q <sub>1</sub>	q <sub>2</sub>	Z <sub>1</sub>	q <sub>3</sub>	Z <sub>1</sub>
q <sub>2</sub>	q <sub>2</sub>	Z <sub>2</sub>	q <sub>3</sub>	Z <sub>1</sub>
q <sub>3</sub>	q <sub>2</sub>	Z <sub>1</sub>	q <sub>3</sub>	Z <sub>2</sub>

Present State	a=0		a=1	
	state	Output	State	Output
q <sub>1</sub>	q <sub>21</sub>	Z <sub>1</sub>	q <sub>31</sub>	Z <sub>1</sub>
q <sub>21</sub>	q <sub>22</sub>	Z <sub>2</sub>	q <sub>31</sub>	Z <sub>1</sub>
q <sub>22</sub>	q <sub>22</sub>	Z <sub>2</sub>	q <sub>31</sub>	Z <sub>1</sub>
q <sub>31</sub>	q <sub>21</sub>	Z <sub>1</sub>	q <sub>32</sub>	Z <sub>2</sub>
q <sub>32</sub>	q <sub>21</sub>	Z <sub>1</sub>	q <sub>32</sub>	Z <sub>2</sub>

# Procedure of transforming Mealy machine into Moore machine

Present State	Next State		Output
	a=0	a=1	
$q_1$	$q_{21}$	$q_{31}$	
$q_{21}$	$q_{22}$	$q_{31}$	$Z_1$
$q_{22}$	$q_{22}$	$q_{31}$	$Z_2$
$q_{31}$	$q_{21}$	$q_{32}$	$Z_1$
$q_{32}$	$q_{21}$	$q_{32}$	$Z_2$





## Procedure of transforming Moore machine into Mealy machine

- ▶ Consider the moore machine described by the transition table given:

Present State	Next State		Output
	a=0	a=1	
→ $q_1$	$q_1$	$q_2$	0
$q_2$	$q_1$	$q_3$	0
$q_3$	$q_1$	$q_3$	1

Construct the corresponding mealy machine.

- ▶ **Solution:**

Present State	a=0		a=1	
	state	Output	State	Output
→ $q_1$	$q_1$	0	$q_2$	0
$q_2$	$q_1$	0	$q_3$	1
$q_3$	$q_1$	0	$q_3$	1

Now, Find identical rows and remove one of them

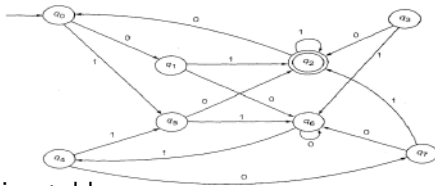
Present State	a=0		a=1	
	state	Output	State	Output
→ $q_1$	$q_1$	0	$q_2$	0
$q_2$	$q_1$	0	$q_2$	1

# Minimization of Finite Automata

- ▶ **Equivalence:** Two states  $q_1$  and  $q_2$  are equivalent (denoted by  $q_1 \equiv q_2$ ), if both  $\delta(q_1, x)$  and  $\delta(q_2, x)$  are final states or both of them are non-final states for all  $x \in \Sigma^*$ .
- ▶ Precisely, Two states  $q_1$  and  $q_2$  are  $k$ -equivalent ( $k \geq 0$ ), if both  $\delta(q_1, x)$  and  $\delta(q_2, x)$  are final states or both non-final states for all string  $x$  of length  $k$  or less.
- ▶ If  $\delta(q_1, w)$  and  $\delta(q_2, w)$  are equivalent then
  - ▶ for  $|w| = 0$ , the states are 0-equivalent.
  - ▶ for  $|w| = 1$ , the states are 1-equivalent.
  - ▶ for  $|w| = 2$ , the states are 2-equivalent.
  - ..
  - ▶ for  $|w| = n$ , the states are  $n$ -equivalent.
- ▶ **Properties of Equivalence relations:**
  - ▶ If a relation is equivalence or  $k$ -equivalence, then they are reflexive, symmetric and transitive.
  - ▶ If  $q_1$  and  $q_2$  are  $k$ -equivalent for all  $k \geq 0$ , then they are equivalent.
  - ▶ If  $q_1$  and  $q_2$  are  $(k+1)$ -equivalent, then they are  $k$ -equivalent.

# Minimization of Finite Automata

- ▶ Construct a minimum state automaton equivalent to the given finite automaton



**Solution:**

1. Draw transition table

State \ $\Sigma$	0	1
$\rightarrow q_0$	$q_1$	$q_5$
$q_1$	$q_6$	$q_2$
$q_2$	$q_0$	$q_2$
$q_3$	$q_2$	$q_6$
$q_4$	$q_7$	$q_5$
$q_5$	$q_2$	$q_6$
$q_6$	$q_6$	$q_4$
$q_7$	$q_6$	$q_2$

# Minimization of Finite Automata

2. Find 0-equivalent set

$$\pi_0 = [q_0, q_1, q_3, q_4, q_5, q_6, q_7][q_2]$$

3. Find 1-equivalent set

$$\pi_1 = [q_0, q_6, q_4][q_1, q_7][q_5, q_3][q_2]$$

4. find 2-equivalent set

$$\pi_2 = [q_0, q_4][q_6][q_2][q_1, q_7][q_3, q_5]$$

5. find 3-equivalent set

$$\pi_3 = [q_0, q_4][q_6][q_2][q_1, q_7][q_3, q_5]$$

Therefore,  $M' = (Q', \{0, 1\}, \delta, q'_0, F')$

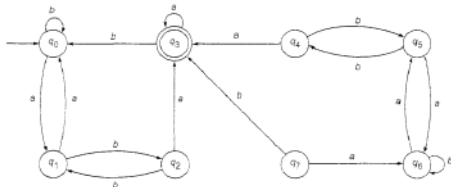
where  $Q' = \{[q_2], [q_0, q_4], [q_6], [q_1, q_7], [q_3, q_5]\}$

$q'_0 = [q_0, q_4]$ ,  $F' = [q_2]$

State \ $\Sigma$	0	1
$[q_0, q_4]$	$[q_1, q_7]$	$[q_3, q_5]$
$[q_1, q_7]$	$[q_6]$	$[q_2]$
$[q_2]$	$[q_0, q_4]$	$[q_2]$
$[q_3, q_5]$	$[q_2]$	$[q_6]$
$[q_6]$	$[q_6]$	$[q_0, q_4]$

# Minimization of Finite Automata

- ▶ Construct a minimum state automaton equivalent to the given finite automaton



- ▶ **Solution:**

State \ $\Sigma$	a	b
$\rightarrow q_0$	$q_1$	$q_0$
$q_1$	$q_0$	$q_2$
$q_2$	$q_3$	$q_1$
$\textcircled{q_3}$	$q_3$	$q_0$
$q_4$	$q_3$	$q_5$
$q_5$	$q_6$	$q_4$
$q_6$	$q_5$	$q_6$
$q_7$	$q_6$	$q_3$

# Minimization of Finite Automata

$$\blacktriangleright \pi_0 = \{\{q_3\}\{q_0, q_1, q_2, q_4, q_5, q_6, q_7\}\}$$

$$\blacktriangleright \pi_1 = \{\{q_3\}\{q_0, q_1, q_5, q_6\}\{q_2, q_4\}, \{q_7\}\}$$

$$\blacktriangleright \pi_2 = \{\{q_3\}\{q_0, q_6\}\{q_1, q_5\}\{q_2, q_4\}\{q_7\}\}$$

$$\blacktriangleright \pi_3 = \{\{q_3\}\{q_0, q_6\}\{q_1, q_5\}\{q_2, q_4\}\{q_7\}\}$$

$$\therefore Q' = \{\{q_3\}\{q_0, q_6\}\{q_1, q_5\}\{q_2, q_4\}\{q_7\}\}$$

$$q'_0 = \{q_0, q_6\}$$

$$F' = \{q_3\}$$

Now, make  $\delta'$  and transition diagram.

# Minimization of Finite Automata

- ▶ Construct minimum state automaton equivalent to given automata M:

State \ $\Sigma$	a	b
$\rightarrow q_0$	$q_0$	$q_3$
$q_1$	$q_2$	$q_5$
$q_2$	$q_3$	$q_4$
$q_3$	$q_0$	$q_5$
$q_4$	$q_0$	$q_6$
$q_5$	$q_1$	$q_4$
$\textcircled{q_6}$	$q_1$	$q_3$

# Language: Introduction

- ▶ **Language**

- ▶ Formal (Syntactic Languages)
- ▶ Informal (Semantic Languages)

- ▶ **Alphabet**

- ▶ **String** A concatenation of finite symbols from the alphabet is called a string.

**Example:** If  $\Sigma = \{a, b\}$  then  $a$ ,  $abab$ ,  $aaabb$ ,  $abababababaaaaabaab$ , etc.

- ▶ **Empty String or Null String**  $\Lambda$  or  $\emptyset$   
 $\implies$  A string with no symbols

- ▶ **Words**  $\implies$  strings belonging to some language

**Example:** If  $\Sigma = \{x\}$  then a language  $L$  can be defined as

$$L = \{x^n : n = 1, 2, 3, \dots\} \text{ or}$$

$$L = \{x, xx, xxx, \dots\}$$

Here,  $x$ ,  $xx$ ,  $xxx$ ,  $\dots$  are the words of  $L$ .

- ▶ **All words are strings but not all strings are words.**



# Language: Introduction

- ▶ **Length of String:**  $|S| \implies$  number of letters in the string.

**Example:**  $\Sigma = \{a, b\}$

If  $S = ababa$ , then  $|S| = 5$

- ▶ **Reverse of String:**  $S^r \implies$  Obtained by writing letters of 'S' in reverse order.

**Example:**

- ▶ If  $s = abc$  over  $\Sigma = \{a, b, c\}$

Then,  $\text{Rev}(s)$  or  $s^r = cba$

- ▶  $\Sigma = \{B, aB, bab, d\}$

$s = BaBbabBd$

$s^r = dBbabaBB$

## Language: Definition

- ▶ Descriptive Definition
- ▶ Recursive Definition
- ▶ Using Regular Expression
- ▶ Using Finite Automata, etc.

# Descriptive definition of Language

The language is defined describing the conditions imposed on its words.

**Example:**

1. The language L of strings of odd length, defined over  $\Sigma=\{a\}$   
 $\implies L=\{a, aaa, aaaaa, \dots\}$
2. The language L of strings that doesnot start with 'a' defined over  $\Sigma=\{a,b,c\}$   
 $\implies L=\{b,c,ba, bb,bc,ca,cb,cc\}$
3. The language L of strings of length 2 over  $\Sigma=\{0,1,2\}$   
 $\implies L=\{00,01,02,10,22,12,\dots\}$
4. The language L of strings ending in 0 over  $\Sigma=\{0,1\}$   
 $L=\{0,00,10,000,010,100,\dots\}$
5. The language L of Strings with number of "a" (s) equal to number of "b" (s) over  $\Sigma=\{a,b\}$   
 $L=\{\wedge, ab,aabb,abab,baba,abba,\dots\}$
6. Language **Even-Even** of string with even number of a(s) and even number of b(s) over  $\Sigma=\{a,b\}$   
 $L=\{\wedge, aa,bb,aaaa,aabb,abab,\dots\}$
7. Language Integer of strings over  $\Sigma=-,0,1,2,3,4,5,6,7,8,9$   
 $L=\{\dots, -2,-1,0,1,2,\dots\}$
8. Language  $\{a^n b^n\}$  over  $\Sigma=\{a,b\}$  or  $\{a^n b^n:n=1,2,3,\dots\}$   
 $L=\{ab,aabb,aaabbb, \dots\}$
9. Palindrome over  $\Sigma=\{a,b\}$   
 $L=\{\wedge, a,b, aa,bb, aaa,aba,bab,bbb,\dots\}$

# GRAMMAR

- ▶ A grammar is  $(V_N, \Sigma, P, S)$   
where  $V_N$  is a non-empty set whose elements are called **variables**.  
 $\Sigma$  finite non-empty set whose elements are called **terminals**.  
 $S$  is a special symbol called **Start Symbol**.  
 $P$  are set of **Production rules**.
- ▶  $V_N \cap \Sigma = \phi$

## Example

$G = \{V_N, \Sigma, P, S\}$  is a Grammar, where  
 $V_N = \{\langle \text{sentence} \rangle, \langle \text{noun} \rangle, \langle \text{verb} \rangle, \langle \text{adverb} \rangle\}$ ,  $\Sigma = \{\text{Ram, Sam, ran, sang, fast}\}$ ,  
 $S = \langle \text{sentence} \rangle$

$P$  consists of following productions:

$\langle \text{sentence} \rangle \rightarrow \langle \text{noun} \rangle \langle \text{verb} \rangle$   
 $\langle \text{sentence} \rangle \rightarrow \langle \text{noun} \rangle \langle \text{verb} \rangle \langle \text{adverb} \rangle$   
 $\langle \text{noun} \rangle \rightarrow \text{Ram}$   
 $\langle \text{noun} \rangle \rightarrow \text{Sam}$   
 $\langle \text{verb} \rangle \rightarrow \text{ran}$   
 $\langle \text{verb} \rangle \rightarrow \text{sang}$   
 $\langle \text{adverb} \rangle \rightarrow \text{fast}$

## Grammar

- ▶ If  $G = (\{S\}, \{0,1\}, \{S \rightarrow 0S1, S \rightarrow \wedge\}, S)$ . Find  $L(G)$ .

**Solution:**  $S \rightarrow 0S1 \rightarrow 00S11 \rightarrow 000S111 \dots 0^n S 1^n$

$0^n \wedge 1^n = 0^n 1^n \in L(G)$  for  $n \geq 0$

$\therefore L(G) = \{0^n 1^n \mid n \geq 0\}$

- ▶ If  $G = (\{S\}, \{a\}, \{S \rightarrow SS\}, S)$ , Find the language generated by  $G$ .

**Solution:**  $L(G) = \phi$

- ▶ Let  $G = (\{S, C\}, \{a, b\}, P, S)$ , where  $P$  consists of  $S \rightarrow aCa, C \rightarrow aCa \mid b$ . Find  $L(G)$ .

**Solution:**  $S \Rightarrow aCa \Rightarrow aba$ . So,  $aba \in L(G)$

$S \Rightarrow aCa$  (using  $S \rightarrow aCa$ )

$\Rightarrow a^n C a^n$  (using  $S \rightarrow aCa$   $(n-1)$  times)

$\Rightarrow a^n b a^n$  (using  $C \rightarrow b$ )

Hence,  $a^n b a^n \in L(G)$ , where  $n \geq 1$

$\therefore L(G) = \{a^n b a^n \mid n \geq 1\}$

# Grammar

## Exercise

Construct a grammar  $G$  so that  $L(G) = \{a^n b a^m \mid n, m \geq 1\}$

- ▶ If  $G$  is  $S \rightarrow aS \mid bS \mid a \mid b$ , Find  $L(G)$ .

**Solution:**  $L(G) = \{a, b\}^+$

## Exercise 1

If  $G$  is  $S \rightarrow aS \mid a$ , then show that  $L(G) = \{a\}^+$

- ▶ Let  $L$  be the set of all palindromes over  $\{a, b\}$ . Construct a grammar  $G$  generating  $L$ .

**Solution:**  $\Lambda$ ,  $a$ ,  $b$ , or  $axa$  and  $bxb$  are palindromes.

$\therefore P$  consists of

$S \rightarrow \Lambda$

$S \rightarrow a, S \rightarrow b$

$S \rightarrow aSa, S \rightarrow bSb$

Thus,  $G = (\{S\}, \{a, b\}, P, S)$

# Grammar

- ▶ Construct a Grammar generating  $L = \{wcw^T \mid w \in \{a, b\}^*\}$

**Solution:** Let  $G = (\{S\}, \{a, b, c\}, P, S)$

where  $P$  is defined as

$S \rightarrow aSa \mid bSb \mid c$

- ▶ Find a grammar generating  $L = \{a^n b^n c^i \mid n \geq 1, i \geq 0\}$

**Solution:**  $L = L_1 \cup L_2$ ,  $L_1 = \{a^n b^n \mid n \geq 1\}$

$L_2 = \{a^n b^n c^i \mid n \geq 1, i \geq 1\}$

Let "P" be as follows:

$S \rightarrow A$

$A \rightarrow ab \mid aAb$

$S \rightarrow Sc$

Let  $G = (\{S, A\}, \{a, b, c\}, P, S)$  for  $n \geq 1, i \geq 0$

$S \xrightarrow{*} Sc^i \rightarrow Ac^i \rightarrow a^{n-1}Ab^{n-1}c^i \rightarrow a^{n-1}abb^{n-1}c^i = a^n b^n c^i$

$L(G) = \{a^n b^n c^i \mid n \geq 1, i \geq 0\}$

# Grammar

- ▶ Find a grammar generating

$$\{a^j b^n c^n \mid n \geq 1, j \geq 0\}$$

**Solution:** Let  $G = (\{S, A\}, \{a, b, c\}, P, S)$

where "P" consists of:

$$S \rightarrow aS \mid A$$

$$A \rightarrow bAc \mid bc$$

- ▶ Let  $G = (\{S, A\}, \{0, 1, 2\}, P, S)$  where P consists of

$$S \rightarrow 0SA2 \mid S \rightarrow 012$$

$$2A \rightarrow A2$$

$$1A \rightarrow 11. \text{ Show that } L(G) = \{0^n 1^n 2^n \mid n \geq 1\}$$

**Solution:**  $S \xrightarrow{*} 0^{n-1} S (A2)^{n-1}$  by applying  $S \rightarrow 0SA2$  (n-1) times

$\rightarrow 0^n 12 (A2)^{n-1}$  by applying  $S \rightarrow 012$

$\xrightarrow{*} 0^n 1 A^{n-1} 2^n$  by applying  $2A \rightarrow A2$  several times

$\xrightarrow{*} 0^n 1^n 2^n$  by applying  $1A \rightarrow 11$  (n-1 times)

$\therefore 0^n 1^n 2^n \in L(G)$  for all  $n \geq 1$



# Grammar

- ▶ Construct grammar  $G$  generating  $\{a^n b^n c^n \mid n \geq 1\}$

**Solution:**  $G = (\{S, B, C\}, \{a, b, c\}, P, S)$

where  $P$  consists of:  $S \rightarrow aSBC \mid aBC$ ,  $CB \rightarrow BC$ ,  $aB \rightarrow ab$ ,  
 $bB \rightarrow bb$ ,  $bC \rightarrow bc$ ,  $cC \rightarrow cc$

$S \implies aBC \implies abC \implies abc$

- ▶ Construct a grammar  $G$  generating  $\{xx \mid x \in \{a, b\}^*\}$

**Solution:** Let  $G$  is as follows:  $G = (\{S, D, E, F, A, B\}, \{a, b\}, P, S)$

where  $P$  consists of :

$S \rightarrow DEF$

$DE \rightarrow aDA$ ,  $DE \rightarrow bDB$

$AF \rightarrow EaF$ ,  $BF \rightarrow EbF$

$Aa \rightarrow aA$ ,  $Ab \rightarrow bA$ ,  $Ba \rightarrow aB$ ,  $Bb \rightarrow bB$

$aE \rightarrow Ea$ ,  $bE \rightarrow Eb$

$DE \rightarrow \wedge$ ,  $F \rightarrow \wedge$

# Grammar

- ▶ Let  $G = (\{S, A, B\}, \{a, b\}, P, S)$  where  $P$  consists of  $S \rightarrow aABa$ ,  $A \rightarrow baABb$ ,  $B \rightarrow Aab$ ,  $aA \rightarrow baa$ ,  $bBb \rightarrow abab$ . Test whether  $w = baabbabaaabbaba$  is in  $L(G)$ .

**Solution:**  $S \rightarrow \underline{a}ABa$

$\implies baa\underline{B}a$

$\implies baa\underline{A}aba$

$\implies baaba\underline{A}Bbaba$

$\implies baabbaa\underline{B}baba$

$\implies baabbaa\underline{A}abbaba$

$\implies baabbabaaabbaba = w$

$\therefore w \in L(G)$

- ▶ If the grammar  $G$  is given by the productions  $S \rightarrow aSa \mid bSb$   
 $\mid aa \mid bb \mid \wedge$ , show that:
  - ▶  $L(G)$  has no strings of odd length
  - ▶ Any string in  $L(G)$  is of length  $2n$ ,  $n \geq 0$
  - ▶ The number of strings of length  $2n$  is  $2^n$

# Chomsky Classification of Languages

- ▶ Chomsky classified grammar into 4 types i.e. (type 0-3)
- ▶ A **type 0 grammar** is any phrase structure grammar without any restrictions
  - ⇒ All grammar we have considered till now are type 0 grammar
- ▶ In a production of form  $\phi A \psi \rightarrow \phi \alpha \psi$

## Example:

- ▶ **abAbcd**  $\rightarrow$  **abABbcd**

$$\phi \Rightarrow ab$$

$$\alpha \Rightarrow AB$$

$$\psi \Rightarrow bcd$$

- ▶ **AC**  $\rightarrow$  **A**

$$\phi \Rightarrow A$$

$$\alpha \Rightarrow \wedge$$

$$\psi \Rightarrow \wedge$$

# Chomsky Classification of Languages

- ▶  $C \rightarrow \wedge$ 
  - $\phi \implies \wedge$
  - $\alpha \implies \wedge$
  - $\psi \implies \wedge$

# Chomsky Classification of Languages

- ▶ A production of the form  $\phi A \psi \rightarrow \phi \alpha \psi$  is called **Type-1 production or Context-sensitive Language**, if  $\alpha \neq \Lambda$   
 $\implies$  In type-1 production erasing 'A' is not allowed

## **Example:**

- ▶  $a\underline{A}bCD \rightarrow abcDbcD$  is a type 1 production.  
A is replaced by bcD  $\neq \Lambda$
- ▶  $\underline{A}B \rightarrow Ab\underline{B}c$  is a type 1 production.
- ▶  $A \rightarrow abA$  is a type 1 production.
- ▶ A grammar is called **type 1 or Context sensitive or Context-dependent** if all its productions are type 1 productions.
- ▶ The production  $S \rightarrow \Lambda$  is also allowed in type 1 grammar, but in this case S does not appear on the right-hand side of any production.
- ▶ The language generated by a type-1 grammar is called a **type-1 or context-sensitive language**

# Chomsky Classification of Languages

- ▶ A grammar  $G = (V_N, \Sigma, P, S)$  is **monotonic** (or length-increasing) if every production in  $P$  is of the form  $\alpha \rightarrow \beta$  with  $|\alpha| \leq |\beta|$  or  $S \rightarrow \Lambda$ . In second case,  $S$  does not appear on right-hand side of any production in  $P$ .
- ▶ **Type-2: Context free Grammar** generates context free language
- ▶ A **Type-2 production** is a production of the form  $A \rightarrow \alpha$  where  $A \in V_N$  and  $\alpha \in (V_N \cup \Sigma)^*$
- ▶ In other words, **in Type-2**  $\implies$ 
  - ▶ It should be in Type-1
  - ▶ L.H.S. production should have only 1 variable i.e.  $|A| = 1$  and there is no restriction on  $\alpha$

**Example:**  $S \rightarrow Aa$ ,  $A \rightarrow a$ ,  $B \rightarrow abc$ ,  $A \rightarrow \Lambda$  are type-2 productions.

# Chomsky Classification of Languages

- ▶ A production of the form  $A \rightarrow a$  or  $A \rightarrow aB$ , where  $A, B \in V_N$  and  $a \in \Sigma$  is called a **type-3 production**.
- ▶ A grammar is called a type-3 or **Regular Grammar** if all its productions are type-3 productions.
- ▶ A production  $S \rightarrow \wedge$  is allowed in type-3 grammar, but in this case  $S$  does not appear on the right-hand side of any production

# Chomsky Classification of Languages

1. Find the highest type number which can be applied to the following productions:
  - ▶  $S \rightarrow Aa, A \rightarrow c \mid Ba, B \rightarrow abc$
  - ▶  $S \rightarrow ASB \mid d, A \rightarrow aA$
  - ▶  $S \rightarrow aS \mid ab$
2. Differentiate between Recursive Set and Recursively Enumerable Set
3. Prove that Context-sensitive language is recursive.
4. Prove that there exists a recursive set which is not a context-sensitive language over  $\{0,1\}$ .
5. Let  $G = (\{A, B, S\}, \{0,1\}, P, S)$  where  $P$  consists of  $S \rightarrow 0AB, A0 \rightarrow S0B, A1 \rightarrow SB1, B \rightarrow SA, B \rightarrow 01$ . Show that  $L(G) = \phi$ .
6. Find the language generated by grammar  $S \rightarrow AB, A \rightarrow A1 \mid 0, B \rightarrow 2B \mid 3$ . Can the above language be generated by a grammar of higher type?



# Chomsky Classification of Languages

- Construct a grammar which generates all even integer upto 998.
- Construct CFG to generate the following:
  - ▶  $\{0^m 1^n \mid m \neq n, m, n \geq 1\}$
  - ▶  $\{a^l b^m c^n \mid \text{one of } l, m, n \text{ equals } 1 \text{ and remaining two are equal}\}$
  - ▶  $\{a^l b^m c^n \mid l + m = n\}$
  - ▶ The set of all strings over  $\{0,1\}$  containing twice as many 0's and 1's
- Show that  $G_1 = (\{S\}, \{a,b\}, P_1, S)$  where  $P_1 = \{S \rightarrow aSb \mid ab\}$  is equivalent to  $G_2 = (\{S,A,B,C\}, \{a,b\}, P_2, S)$ , where  $P_2$  consists of  $S \rightarrow AC, C \rightarrow SB, S \rightarrow AB, A \rightarrow a, B \rightarrow b$
- What are the applications of different grammar types?

# Regular Expression

- ▶ A language is regular if there exists a finite acceptor for it  
∴ Every regular language can be described as DFA or N DFA
- ▶ **Regular Expression:** Algebraic description of languages
- ▶ Let  $\Sigma$  be a given alphabet, then:
  1.  $\phi, \Lambda$  and  $a \in \Sigma$  are all regular expressions, called **Primitive regular expressions**.
  2. If  $R_1$  and  $R_2$  are regular expressions, so are  $R_1 + R_2, R_1.R_2, R_1^*$  and  $(R_1)$
  3. A string is a regular expression if and only if it can be derived from primitive regular expressions by a finite number of applications of the rules in (2)
- ▶ **Example:** For  $\Sigma = \{a, b, c\}$ , the string  $(a + b.c)^*. (c + \phi)$  is a regular expression while  $(a + b+)$  is not a regular expression.

# Language Associated with Regular Expression

The language  $L(R)$  denoted by any regular expression 'R' is defined by following rules

1.  $\phi$  is a R.E. denoting empty set
2.  $\wedge$  is a R.E. denoting  $\{\wedge\}$
3. For every  $a \in \Sigma$ ,  $\mathbf{a}$  is a R.E. denoting  $\{\mathbf{a}\}$   
If  $R_1$  and  $R_2$  are R.E. , then
4.  $L(R_1+R_2)=L(R_1)\vee L(R_2)$
5.  $L(R_1.R_2)=L(R_1)L(R_2)$
6.  $L((R_1))=L(R_1)$
7.  $L(R_1^*)=(L(R_1))^*$

**Example:** For  $\Sigma=\{a,b\}$ , the expression

$R=(a + b)^*(a+bb)$  is regular

$\implies L(R)=\{a,bb,aa,abb,ba,bbb,\dots\}$

$\implies L(R)$  is the set of all strings on  $\{a,b\}$ , terminated by either 'a' or 'bb'.

## Language Associated with Regular Expression

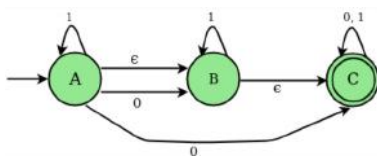
- ▶  $R = (aa)^*(bb)^*b$   
denotes the set of all strings with even number of a's followed by an odd number of b's  
 $L(R) = \{a^{2n}b^{2m+1} \mid n \geq 0, m \geq 0\}$
- ▶ For  $\Sigma = \{0,1\}$ . Give a regular expression 'R' such that  $L(R) = \{w \in \Sigma^* \mid w \text{ has at least one pair of consecutive zeroes}\}$   
**Solution:**  $R = (0 + 1)^*00(0 + 1)^*$
- ▶ Find R.E. for language  $L = \{w \in \{0,1\}^* \mid w \text{ has no pair of consecutive zeroes}\}$   
**Solution:**  $R = (1 + 01)^*(0 + \wedge)$   
 $R = (1^*011^*)^*(0 + \wedge) + 1^*(0 + \wedge)$
- ▶ Find all strings in  $L((a + b)^*b(a + ab)^*)$  of length less than 4
- ▶ Find R.E. for set  $\{a^n b^m : (n+m) \text{ is even}\}$

# Identities for Regular Expression

1.  $\phi + R = R$
2.  $\phi R = R \phi = \phi$
3.  $\Lambda R = R \Lambda = R$
4.  $\Lambda^* = \Lambda$  and  $\phi^* = \Lambda$
5.  $R + R = R$
6.  $R^* R^* = R^*$
7.  $RR^* = R^*R$
8.  $(R^*)^* = R^*$
9.  $\Lambda + RR^* = R^* = \Lambda + R^*R$
10.  $(PQ)^*P = P(QP)^*$
11.  $(P + Q)^* = (P^*Q^*)^* = (P^* + Q^*)^*$
12.  $(P + Q)R = PR + QR$  and  $R(P + Q) = RP + RQ$

# $\epsilon$ -NFA

$\implies$  Moving without reading a symbol from Input Tape.



State/Input	0	1	$\epsilon$
A	B,C	A	B
B	-	B	C
C	C	C	-

## Epsilon Closure

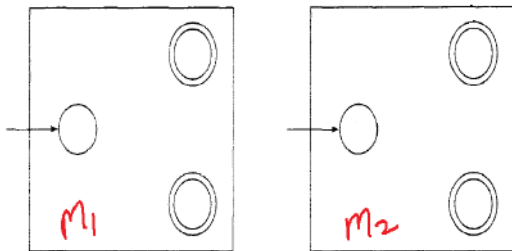
$\epsilon$ -closure for a given state  $X$  is a set of States which can be reached from states  $X$  with only (null) or  $\epsilon$  moves including the state  $X$  itself.

**Example:**  $\epsilon$  closure (A) = {A,B,C}

$\epsilon$  closure (B) = {B,C}

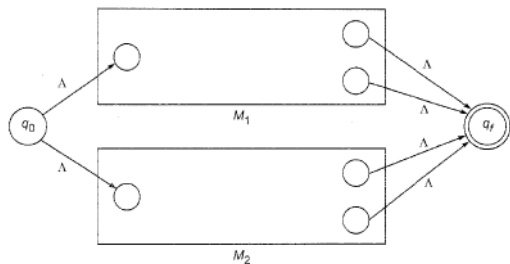
$\epsilon$  closure (C) = {C}

# Automata and Regular Expression

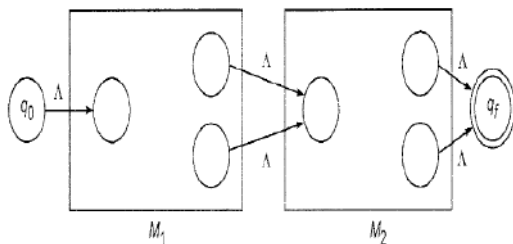


- ▶ Automaton for  $L(R_1 + R_2)$

# Automata and Regular Expression



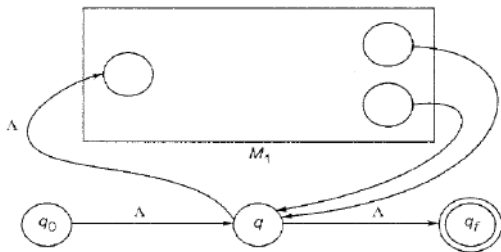
- ▶ Automaton for  $L(R_1.R_2)$





# Automata and Regular Expression

- ▶ Automaton for  $L(R_1^*)$

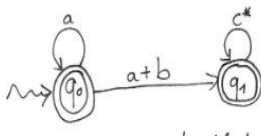


# Automata and Regular Expression

- ▶ **Generalized Transition Graph:** A transition graph whose edges are labelled as R.E.
- ▶ **Example:**  $L(R) = (a^* + a^*(a + b)c^*)$
- ▶ **Equivalence of Generalized Transition Graph:**  
Let R be a regular expression. Then, there exists some NFA that accepts  $L(R)$ . Consequently,  $L(R)$  is a regular language.
- ▶ Find NFA which accepts  $L(R)$  where  $R = (a + bb)^*(ba^* + \Lambda)$

# Automata and Regular Expression

- ▶ The Strings denoted by such regular expressions are a subset of the language accepted by GTG, with full language being the union of all such generated subsets
- ▶ **Example:** The language accepted by the following GTG is



$$L(a^* + a^*(a + b)c^*)$$

- ▶ State Elimination method
- ▶ Arden's Theorem

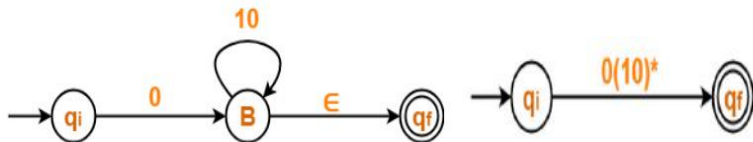
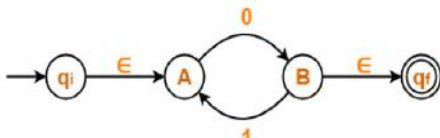
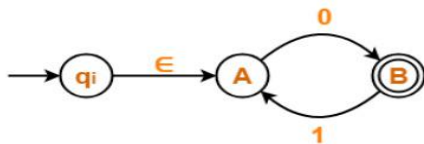
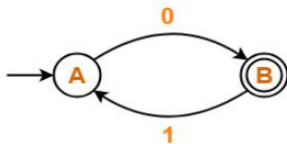
# Automata and Regular Expression

## State Elimination method

1. Initial State should not have any incoming edge
2. Final State should not have any outgoing edge
3. Only 1 final state
4. Eliminate each non-initial/final vertex one by one

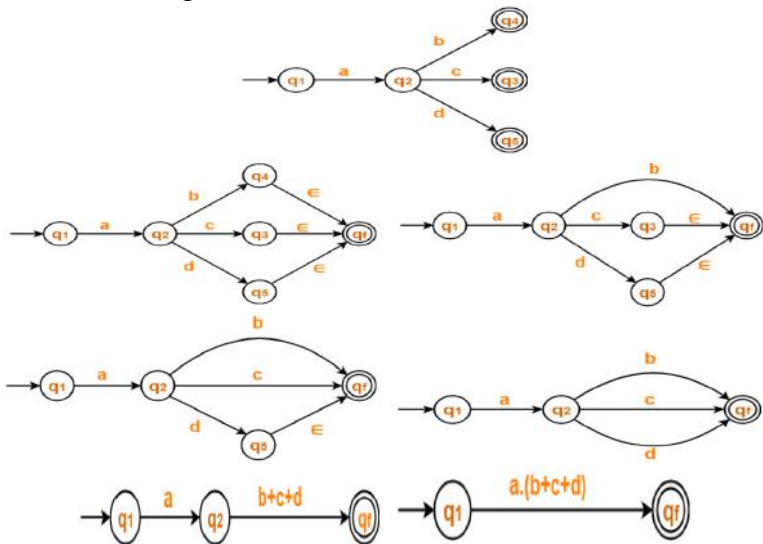
# State Elimination Method

1. Find R.E. for given DFA



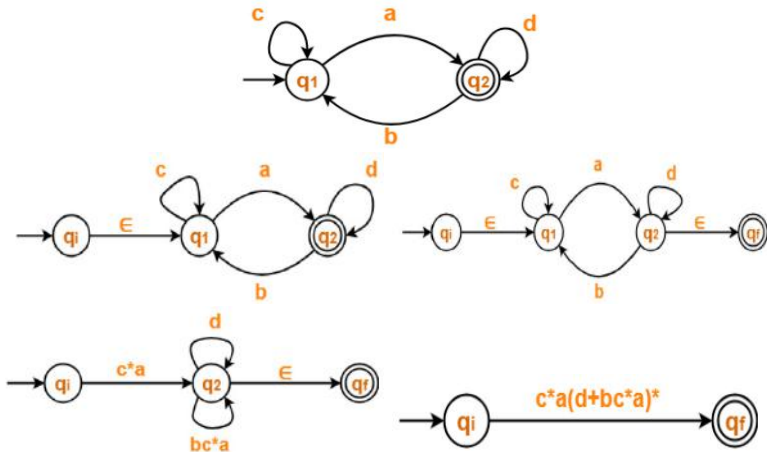
# State Elimination Method

2. Find R.E. for given DFA



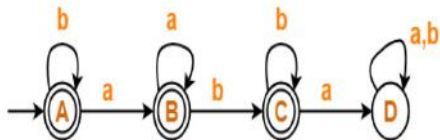
# State Elimination Method

3. Find R.E. for given DFA

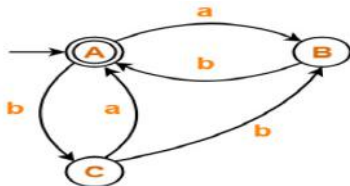


# State Elimination Method

1. Find R.E. for the given DFA



2. Find R.E. for the given DFA





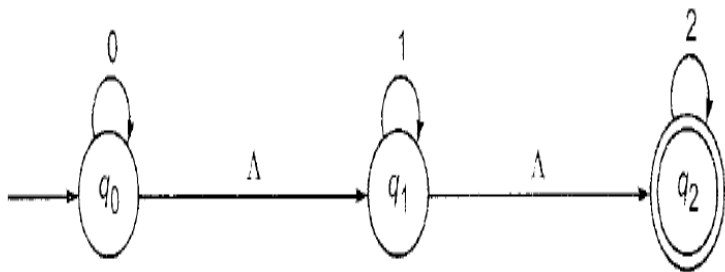
## Elimination of $\epsilon/\wedge$ moves

Suppose we want to replace a  $\wedge$ -move from vertex  $v_1$  to vertex  $v_2$   
Then proceed as follows:

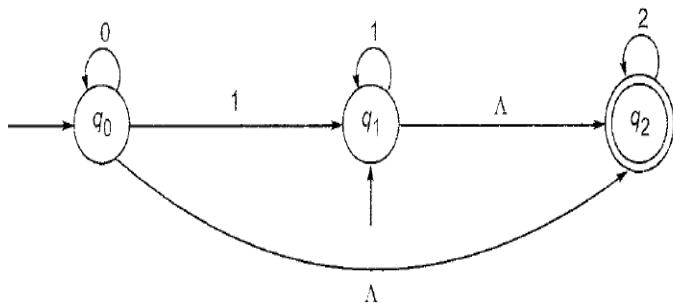
1. Find all the edges starting from  $v_2$
2. Duplicate all these edges starting from  $v_1$ , without changing the edge labels.
3. If  $v_1$  is an initial state, make  $v_2$  also as initial state
4. If  $v_2$  is a final state. make  $v_1$  also as the final state

# Elimination of $\epsilon/\Lambda$ moves

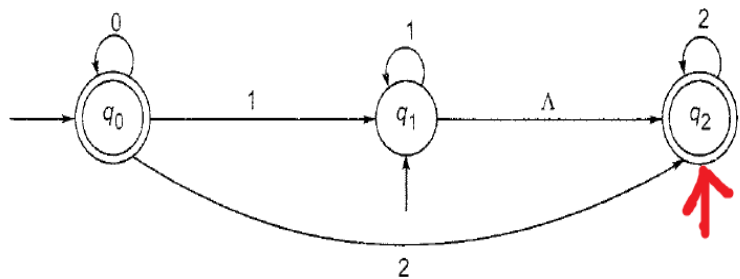
**Example:**



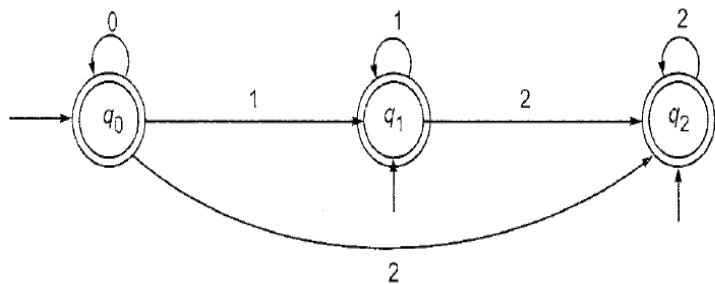
## Elimination of $\epsilon/\Lambda$ moves



## Elimination of $\epsilon/\Lambda$ moves

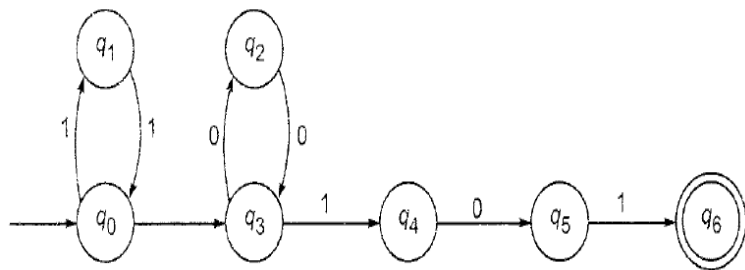


## Elimination of $\epsilon/\wedge$ moves



# Elimination of $\epsilon/\wedge$ moves

**Example:**



# Conversion of $\epsilon$ -NFA to DFA

## Steps:

1. Take  $\epsilon$  closure of initial state as beginning state
2. Find states that can be traversed from present state for each input symbol
3. If any new state is found, repeat step 2 till we get no new state in the transition table.
4. Mark states containing final states as new final state.

State/Input	0	1
{A,B,C}	{B,C}	{A,B,C}
{B,C}	{C}	{B,C}
{C}	{C}	{C}

Now, create transition diagram

## Arden's Theorem

Let  $P$  and  $Q$  be two regular expressions over  $\Sigma$ . **If  $P$  does not contain  $\Lambda$** , then the following equation in  $R$  i.e.  $R=Q+RP$  has a unique solution  $R=QP^*$

**Proof:**

$$R = Q + RP \tag{1}$$

putting "  $R=Q+RP$ " in equation 1

$$R=Q+QP+RPP$$

putting  $R$  recursively again and again

we get:

$$R=Q+QP+QP^2+QP^3+\dots$$

$$R=Q(\Lambda + P + P^2 + P^3 + \dots)$$

$$R=QP^*$$



# Arden's Theorem

## Assumptions:

- ▶ The transition diagram must not have null transitions.
- ▶ It must only 1 initial state, let  $v_1$
- ▶ Its vertices are  $v_1, \dots, v_n$ .
- ▶  $V_i$ , the R.E. represents the set of strings accepted by the system even though  $v_i$ , is a final state.
- ▶  $\alpha_{ij}$  denotes the R.E. representing the set of labels of edges from  $v_i$  to  $v_j$ . When there is no such edge,  $\alpha_{ij} = \phi$ .

Consequently, we can get the following set of equations in

$V_1, \dots, V_n$ :

$$V_1 = V_1\alpha_{11} + V_2\alpha_{21} + \dots + V_n\alpha_{n1} + \Lambda$$

$$V_2 = V_1\alpha_{12} + V_2\alpha_{22} + \dots + V_n\alpha_{n2}$$

$\vdots$

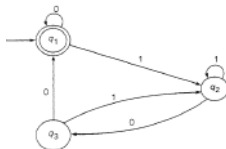
$$V_n = V_1\alpha_{1n} + V_2\alpha_{2n} + \dots + V_n\alpha_{nn}$$

## Arden's Theorem

- ▶ By repeatedly applying substitutions and Arden's theorem, we can express  $V_i$  in terms of  $\alpha_{ij}$ .
- ▶ For getting the set of strings recognized by the transition system, we have to take the "union" of all  $V_i$  corresponding to final states

# Arden's Theorem

1. Find R.E. for the following DFA



$$q_1 = q_10 + q_30 + \Lambda \quad (2)$$

$$q_2 = q_11 + q_21 + q_31 \quad (3)$$

$$q_3 = q_20 \quad (4)$$

putting equation 4 in equation 3

$$\begin{aligned} q_2 &= q_11 + q_21 + q_31 \\ &= q_11 + q_21 + (q_20)1 \\ &= q_11 + q_2(1 + 01) \end{aligned}$$

# Arden's Theorem

Applying Arden's Theorem

$$q_2 = q_11(1 + 01)^* \quad (5)$$

putting 5 in equation 2

$$\begin{aligned} q_1 &= q_10 + q_30 + \wedge \\ &= q_10 + q_200 + \wedge \\ &= q_10 + (q_11(1 + 01)^*00) + \wedge \\ &= q_1(0 + 1(1 + 01)^*00) + \wedge \end{aligned}$$

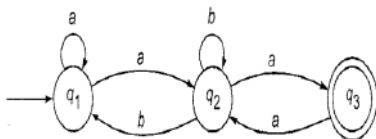
using arden's theorem again

$$\begin{aligned} q_1 &= \wedge(0 + 1(1 + 01)^*00)^* \\ &= (0 + 1(1 + 01)^*00)^* \end{aligned}$$

As  $q_1$  is the final state.  $\therefore r = (0 + 1(1 + 01)^*00)^*$

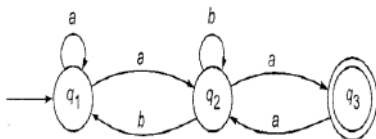
# Arden's Theorem

- ▶ Consider the transition system below. Prove that the strings recognized are  $(a + a(b + aa)^*b)^* a(b + aa)^* a$



# Arden's Theorem

- Consider the transition system below. Prove that the strings recognized are  $(a + a(b + aa)^*b)^* a(b + aa)^* a$



## Solution:

$$q_1 = q_1a + q_2b + \Lambda \quad (6)$$

$$q_2 = q_1a + q_2b + q_3a \quad (7)$$

$$q_3 = q_2a \quad (8)$$

Putting equation 8 in equation 7

$$q_2 = q_1a + q_2b + q_2aa$$

$$q_2 = q_1a + q_2(b + aa)$$

$$q_2 = q_1a(b + aa)^*$$

# Arden's Theorem

Now putting  $q_2$  in equation 6

$$\begin{aligned}q_1 &= q_1 a + q_2 b + \Lambda \\&= q_1 a + q_1 a(b + aa)^* b + \Lambda \\&= q_1(a + a(b + aa)^* b) + \Lambda \\&= \Lambda(a + a(b + aa)^* b)^* \\&= (a + a(b + aa)^* b)^*\end{aligned}$$

putting this in  $q_2$

$$\begin{aligned}q_2 &= (a + a(b + aa)^* b)^* a + q_2 b + q_2 aa \\&= (a + a(b + aa)^* b)^* a + q_2(b + aa) \\&= (a + a(b + aa)^* b)^* a(b + aa)^*\end{aligned}$$

putting  $q_2$  in  $q_3$

$$q_3 = (a + a(b + aa)^* b)^* a(b + aa)^* a \quad (9)$$

# Arden's Theorem

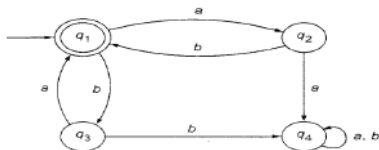
- ▶ Since  $q_3$  is the final state.

$$\therefore r = (a + a(b + aa)^* b)^* a(b + aa)^* a$$



# Arden's Theorem

- ▶ Prove that the finite automaton whose transition diagram below accepts the set of all strings over alphabet  $\{a,b\}$  with an equal number of **a**'s and **b**'s, such that each prefix has at most one more **a** than the **b**'s and at most one more **b** than the **a**'s



# Arden's Theorem

**Solution:**

$$q_1 = q_2b + q_3a + \wedge \quad (10)$$

$$q_2 = q_1a \quad (11)$$

$$q_3 = q_1b \quad (12)$$

$$q_4 = q_2a + q_3b + q_4a + q_4b \quad (13)$$

putting  $q_2$  and  $q_3$  in  $q_1$

$$q_1 = q_1ab + q_1ba + \wedge$$

$$q_1 = q_1(ab + ba) + \wedge$$

applying Arden's theorem

$$q_1 = \wedge(ab + ba)^*$$

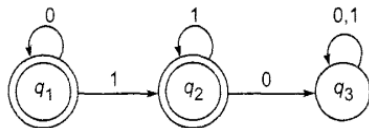
$$q_1 = (ab + ba)^*$$

## Arden's Theorem

- ▶ Now, the prefix can be even or odd in length. For Prefix  $x$  of even length, the number of a's and b's shall be equal as  $x$  is a substring formed by ab's and ba's. For prefix  $x$  of odd length, then we can write ' $x$ ' as  $ya$  or  $yb$ . As  $y$  has even number of symbols, which implies  $x$  has one more a than b or vice-versa

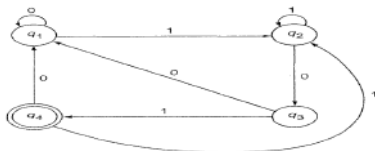
# Arden's Theorem

- Describe in English the set accepted by finite automaton whose transition diagram is as under:



# Arden's Theorem

- ▶ Construct a regular expression corresponding to the state diagram described as under:



- ▶ Give R.E. for representing the set L of strings in which every 0 is immediately followed by at least two 1's. Prove that R.E.  $r = \wedge + 1^*(011)^*(1^*(011))^*$  also describes the same set of strings.
- ▶ Prove  $(1 + 00^*1) + (1 + 00^*1)(0 + 10^*1)^*(0 + 10^*1) = 0^*1(0 + 10^*1)^*$

## Construction of FA equivalent to given RE

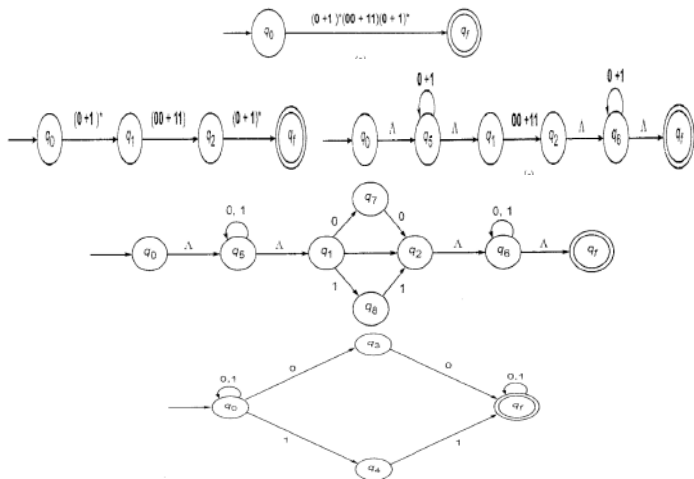
The method for constructing a finite automaton equivalent to a given regular expression is called the subset method which involves four steps.

1. Construct a transition system equivalent to the given regular expression using  $\wedge$ -moves.
  2. Construct the transition table for the transition graph obtained in step 1.
  3. Construct the DFA equivalent to N DFA.
  4. Reduce the number of states if possible.
- Construct FA equivalent to Regular Expression.

$(0 + 1)^*(00 + 11)(0 + 1)^*$

**Solution:**

# Construction of FA equivalent to given RE



State/ $\Sigma$	0	1
$q_0$	$q_0, q_3$	$q_0, q_4$
$q_3$	$q_f$	
$q_4$		$q_f$
$q_f$	$q_f$	$q_f$

# Construction of FA equivalent to given RE

converting to DFA

State/ $\Sigma$	0	1
$q_0$	$q_0, q_3$	$q_0, q_4$
$q_0, q_3$	$q_0, q_3, q_f$	$q_0, q_4$
$q_0, q_4$	$q_0, q_3$	$q_0, q_4, q_f$
$q_0, q_3, q_f$	$q_0, q_3, q_f$	$q_0, q_4, q_f$
$q_0, q_4, q_f$	$q_0, q_3, q_f$	$q_0, q_4, q_f$

reducing

State/ $\Sigma$	0	1
$q_0$	$q_0, q_3$	$q_0, q_4$
$q_0, q_3$	$q_0, q_3, q_f$	$q_0, q_4$
$q_0, q_4$	$q_0, q_3$	$q_0, q_3, q_f$
$q_0, q_3, q_f$	$q_0, q_3, q_f$	$q_0, q_3, q_f$



# Construction of FA equivalent to given RE

1. Construct DFA with reduced states equivalent to R.E.  
( $10+(0+11)0^*1$ ).
2. Construct transition system equivalent to R.E.
  - ▶  $(ab + c^*)^* b$
  - ▶  $a + bb + bab^* a$
  - ▶  $(a + b)^* abb$
3. Prove that  
 $(a^* ab + ba)^* a^* = (a + ab + ba)^*$
4. Construct a finite automata accepting all strings over  $\{0,1\}$  ending in 010 or 0010.
5. Construct a regular grammar which can generate the set of all strings starting with a letter (A to Z) followed by a string of letters or digits (0 to 9).

## 2-way DFA

- ▶ 2-way DFA allows the read head to move left or right on the input
- ▶ Two end-markers
- ▶ Needs only 1 accept or reject state.
- ▶ A tuple  $M = \{Q, \Sigma, \vdash, \dashv, \delta, s, t, r\}$   
where  $Q$  is the set of states  
 $\Sigma$  is the input alphabet set  
 $\vdash$  is the left end marker  
 $\dashv$  is the right end marker  
 $\delta$  is  $Q \times (\Sigma \cup \{\vdash, \dashv\}) \rightarrow Q \times \{L, R\}$   
 $s$  is start state  
 $t$  is the accept state  
 $r$  is reject state such that  $r \neq t$

## 2-Way DFA

- Determine the acceptability of 101001 for the following:

State/ $\Sigma$	0	1
$\rightarrow q_0$	$(q_0, R)$	$(q_1, R)$
$q_1$	$(q_1, R)$	$(q_2, L)$
$q_2$	$(q_0, R)$	$(q_2, L)$

where  $Q = \{q_0, q_1, q_2\}$ ,  $s = q_0$ ,  $t = q_1$ ,  $r = q_2$

# Pumping Lemma for Regular Sets

- ▶ Let  $M = (Q, \Sigma, \delta, q_0, F)$  be a finite automaton with 'n' states. Let L be the regular sets accepted by M.
- ▶ Let  $w \in L$  and  $|w| \geq n$ , then  $\exists x,y,z$  such that  $w=xyz$ ,  $y \neq \epsilon$  and  $xy^i z \in L$  for each  $i \geq 0$ .
- ▶ **Applications of Pumping Lemma:** Used to prove that certain set are not regular.
- ▶ **Steps to prove that given set is not regular:**
  1. Assume L is regular. Let 'n' be the number of states in corresponding FA.
  2. Choose a string 'w' such that  $|w| \geq n$ . Use pumping lemma to write  $w=xyz$  with  $|xy| \leq n$  and  $|y| > 0$
  3. Find a suitable integer i such that  $xy^i z \notin L$ . This contradicts our assumption. Hence, L is not regular.

# Pumping Lemma for Regular Sets

Show that the set  $L = \{a^{i^2} \mid i \leq 1\}$  is not regular

**Solution:**

- ▶ Let  $L$  is regular

Let ' $n$ ' be number of states in FA accepting  $L$ .

- ▶ Let  $w = a^{n^2} \implies |w| = n^2 > n$

by pumping lemma,  $w = xyz$  with  $|xy| \leq n$  and  $|y| > 0$

- ▶ Consider  $xy^2z$

$|xy^2z| = |x| + 2|y| + |z| > |x| + |y| + |z| \because |y| > 0$

$\implies n^2 = |xyz| = |x| + |y| + |z| < |xy^2z|$

As  $|xy| \leq n$ ,  $|y| \leq n$

- ▶  $\therefore |xy^2z| = |x| + 2|y| + |z| \leq n^2 + n < n^2 + n + n + 1$ .

Hence,  $|xy^2z|$  lies between  $n^2$  and  $(n+1)^2$  but not equal to any one of them.

$\therefore |xy^2z|$  is not a perfect square and so  $xy^2z \notin L$ .

$\therefore$  this is a contradiction. This implies not Regular

# Pumping Lemma for Regular Sets

Show that  $L = \{a^p \mid p \text{ is a prime}\}$  is not regular.

**Solution:**

1. Let  $L$  is regular. Let ' $n$ ' be number of states in finite automata accepting  $L$ .
2. Let ' $p$ ' be a prime number greater than ' $n$ '.  
Let  $w = a^p$   
by pumping lemma,  $w = xyz$  with  $|xy| \leq n$  and  $|y| > 0$   
 $x, y, z$  are simply strings of  $a$ 's.  
So,  $y = a^m$  for some  $m \geq 1$  (and  $\leq n$ )
3. Let  $i = p+1$ , then  
 $|xy^i z| = |xyz| + |y^{i-1}| = p + (i-1)m = p + pm = p(1+m)$  which is not prime.  
 $\therefore xy^i z \notin L. \implies$  contradiction.  
So,  $L$  is not regular.

## Pumping Lemma for Regular Sets

1. Show that  $L = \{0^i 1^i \mid i \geq 1\}$  is not regular.
2. Show that  $L = \{ww \mid w \in \{a, b\}^*\}$  is not regular.
3. Is  $L = \{a^{2^n} \mid n \geq 1\}$  regular ?

# Regular Sets and Regular Grammar

We can construct a Regular Grammar from a Regular Sets and vice versa.

## Construction of a Regular Grammar from a Regular Sets

- ▶ We can show that  $L(G) = T(M)$  by using the construction of  $P$  such that:

$$A_i \rightarrow aA_j \text{ iff } \delta(q_i, a) = q_j$$

$$A_i \rightarrow a \text{ iff } \delta(q_i, a) \in F$$

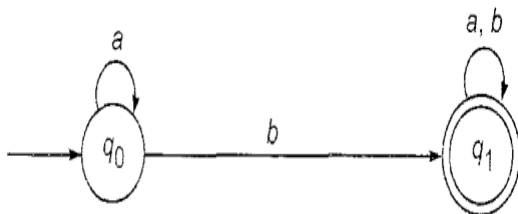
- ▶ Construct a regular grammar  $G$  generating the regular set represented by

$$P = a^*b(a + b)^*.$$



# Regular Sets and Regular Grammar

**Solution:**



Let  $G = (\{q_0, q_1\}, \{a, b\}, P, q_0)$

where  $P$  is given by:

$q_0 \rightarrow aq_0$

$q_0 \rightarrow bq_1, q_0 \rightarrow b$

$q_1 \rightarrow aq_1, q_1 \rightarrow bq_1$

$q_1 \rightarrow a, q_1 \rightarrow b$

# Regular Sets and Regular Grammar

## Construction of a Regular Set from a Regular Grammar

- ▶ We define  $M$  as:
  1. Each production  $A_i \rightarrow aA_j$  induces a transition from  $q_i$  to  $q_j$  with label  $a$  i.e  $\delta(q_i, a) = q_j$ ,
  2. Each production  $A_i \rightarrow a$  induces a transition from  $q_i$  to  $q_f$  with label  $a$  i.e  $\delta(q_i, a) = q_f \in F$
  3.  $S \rightarrow \wedge$ , corresponding transition is from  $q_0$  to  $q_f$  with a label  $\wedge$  or  $q_0$  is also a final state.
- ▶ Let  $G = (\{A, B\}, \{a, b\}, P, A)$  where  $P$  consists of  
 $A \rightarrow aB$ ,  $B \rightarrow bB$   
 $B \rightarrow a$ ,  $B \rightarrow bA$   
Construct a transition system  $M$  accepting  $L(G)$ .

## Regular Sets and Regular Grammar

- ▶ If a regular grammar  $G$  is given by  $S \rightarrow aS \mid a$ . Find  $M$  accepting  $L(G)$ .
- ▶ Construct a DFA equivalent to grammar  
 $S \rightarrow aS \mid bS \mid aA$   
 $A \rightarrow bB$   
 $B \rightarrow aC, C \rightarrow \wedge$

# Context Free Grammar

- ▶ **Finite Automata** accepts all regular languages.
  - ▶ Simple languages such as
    - ▶  $a^n b^n : n = 0, 1, 2, \dots$
    - ▶  $w : w$  is a Palindromeare not regular and thus no finite automata accepts them.
- ▶ **Context Free Languages** are a larger class of languages that encompasses all regular languages and many others including above examples.
- ▶ Languages generated by context free grammar are called **Context free languages**.
- ▶ **Context free grammar are more expressive than finite automata:** If a language  $L$  is accepted by a finite automata, then  $L$  can be generated by a context-free grammar, *While opposite is not true*

# Context-Free Grammars

- ▶ A **Context-free grammar** is a 4-tuple  $(V_n, \Sigma, P, S)$ 
  - ▶  $V_n$  is set of *Variables*.
  - ▶  $\Sigma$  is set of terminals.
  - ▶  $P$  is set of Productions.
  - ▶  $S$  is the start symbol.
- ▶ A Grammar  $G$  is Context free, if every production is of the form  $A \rightarrow \alpha$ , where  $A \in V_N$  and  $\alpha \in (V_N \cup \Sigma)^*$

## Example: CFG

- ▶ Construct a CFG generating all integers (with sign).

**Solution:** Let  $G = (V, \Sigma, P, S)$

where  $V = \{S, \langle \text{sign} \rangle, \langle \text{digit} \rangle, \langle \text{integer} \rangle\}$

$\Sigma = \{0, 1, 2, 3, \dots, 9, +, -\}$

P consists of:

$S \rightarrow \langle \text{sign} \rangle \langle \text{integer} \rangle$

$\langle \text{sign} \rangle \rightarrow + | -$

$\langle \text{integer} \rangle \rightarrow \langle \text{digit} \rangle \langle \text{integer} \rangle \mid \langle \text{digit} \rangle$

$\langle \text{digit} \rangle \rightarrow 0 \mid 1 \mid 2 \mid \dots \mid 9$

Derivation for -42

$S \rightarrow \langle \text{sign} \rangle \langle \text{integer} \rangle$

$\implies - \langle \text{integer} \rangle$

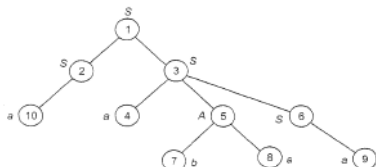
$\implies - \langle \text{digit} \rangle \langle \text{integer} \rangle$

$\implies -4 \langle \text{digit} \rangle$

$\implies -42$

# Derivation Trees

- ▶ Trees are used for derivation of CFG.
- ▶ **Definition:** A derivation tree (or a parse tree) for a CFG  $G = (V, \Sigma, P, S)$  is a tree satisfying:
  - ▶ Every vertex has a label which is variable/terminal/ $\wedge$ .
  - ▶ The root has label  $S$ .
  - ▶ The label of the internal vertex is a variable.
  - ▶ If vertices  $n_1, n_2, \dots, n_k$  written with labels  $X_1, X_2, \dots, X_k$  are sons of vertex ' $n$ ' with label  $A$ , then  $A \rightarrow X_1X_2\dots X_k$  is a production in  $P$ .
  - ▶ A vertex ' $n$ ' is a leaf if its label is  $a \in \Sigma$  or  $\wedge$ ; ' $n$ ' is the only son of its father if its label is  $\wedge$
- ▶ Let  $G = (\{S, A\}, \{a, b\}, P, S)$  where  $P$  consists of  $S \rightarrow aAS \mid aSS, A \rightarrow SbA \mid ba$



# Derivation Trees

- ▶ **Yield of Derivation Tree:** is a concatenation of labels of the leaves without repetition in the left to right ordering.

**Example:** aabaa

- ▶ **Subtree of a Derivation Tree**

$T$  is a tree:

- ▶ whose root is some vertex ' $v$ ' of  $T$ ,
- ▶ whose vertices are descendants of ' $v$ ' together with their labels.
- ▶ whose edges are those connecting the descendants of  $v$ .

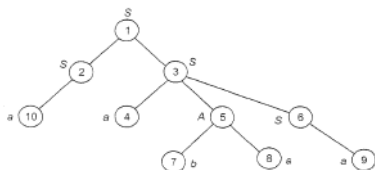


Figure: Derivation Tree  $T$

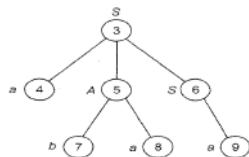


Figure: Sub tree of Tree  $T$





# Derivation Trees

## Theorem 1:

Let  $G=(V, \Sigma, P, S)$  be a CFG. Then,  $S \xRightarrow{*} \alpha$  if and only if there is a derivation tree for  $G$  with yield  $\alpha$ .

- ▶ **Example:** Consider  $G$  whose productions are  $S \rightarrow aAS|a, A \rightarrow SbA|SS|ba$ . Show that  $S \xRightarrow{*} aabbba$  and Construct a derivation tree whose yield is  $aabbba$ .

- ▶ **Case 1:**

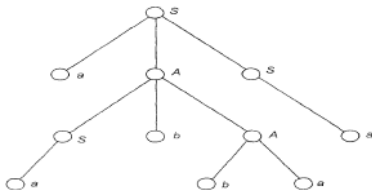
$S \Rightarrow aAS \Rightarrow aSbAS \Rightarrow aabAS \Rightarrow a^2bbaS \Rightarrow aabbba$

- ▶ **Case 2:**

$S \Rightarrow aAS \Rightarrow aAa \Rightarrow aSbAa \Rightarrow aSbbaa \Rightarrow aabbba$

- ▶ **Case 3:**

$S \Rightarrow aAS \Rightarrow aSbAS \Rightarrow aSbAa \Rightarrow aabAa \Rightarrow aabbba$



# Derivation Trees

- ▶ **Left most derivation:** A derivation  $A \xRightarrow{*} w$  is called left-most derivation, if we apply production only to the left most variable at every step.
- ▶ **Right most derivation:** A derivation  $A \xRightarrow{*} w$  is a right most derivation, if we apply production to right most variable at each step.

## Theorem

If  $A \xRightarrow{*} w$  in  $G$ , then there is a left most derivation of  $w$ .

# Derivation Trees

- ▶ **Example:** Let  $G$  be the grammar  $S \rightarrow 0B|1A$ ,  $A \rightarrow 0|0S|1AA$ ,  $B \rightarrow 1|1S|0BB$ .

For the string 00110101, find:

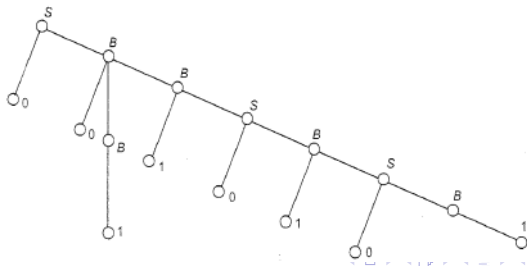
- ▶ the leftmost derivation
  - ▶ the rightmost derivation
  - ▶ The derivation tree
- ▶ **Leftmost derivation:**

$S \Rightarrow 0B \Rightarrow 00BB \Rightarrow 001B \Rightarrow 0011S \Rightarrow 0^21^20B \Rightarrow$   
 $0^21^201S \Rightarrow 0^21^2010B \Rightarrow 0^21^20101$

- ▶ **Rightmost derivation:**

$S \Rightarrow 0B \Rightarrow 00BB \Rightarrow 00B1S \Rightarrow 00B10B \Rightarrow 0^2B101S \Rightarrow$   
 $0^2B1010B \Rightarrow 0^2B10101 \Rightarrow 0^2110101$

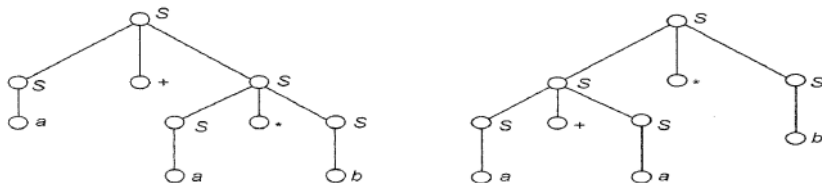
- ▶ **Derivation tree:**



# Ambiguity in CFG

In books selected information is given.

- ▶ A terminal string  $w \in L(G)$  is ambiguous if there exist two or more derivation trees for 'w' (or there exist two or more left most derivation of w).
- ▶ **Example:**  $G = (\{S\}, \{a, b, +, *\}, P, S)$ , where P consists of  $S \rightarrow S + S \mid S * S \mid a \mid b$ . We have two derivation trees for  $a+a*b$ :



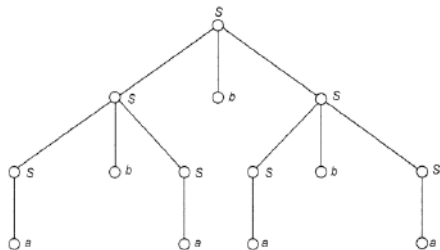
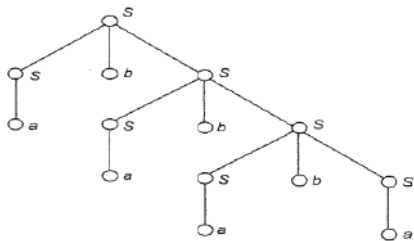
▶  $S \Rightarrow S + S \Rightarrow a + S \Rightarrow a + S * S \Rightarrow a + a * S \Rightarrow a + a * b$

▶  $S \Rightarrow S * S \Rightarrow S + S * S \Rightarrow a + S * S \Rightarrow a + a * S \Rightarrow a + a * b$

# Ambiguity in CFG

## Example:

- ▶ If  $G$  is grammar  $S \rightarrow SbS|a$ . Show that the given grammar is ambiguous.
- ▶ For  $w = abababa$



- ▶ Thus,  $G$  is ambiguous.

# Simplification of CFG

- ▶ We eliminate following in order to simplify a grammar:
  - ▶ Useless variables
  - ▶ Unit productions
  - ▶ Null Productions

# Eliminating Useless Variables

- ▶ Those variables which are not deriving any terminal string and which are not reachable are known as Useless Variables.

- ▶ Example:  $S \rightarrow AB$

$$A \rightarrow a|B$$

$$B \rightarrow b|C$$

$$C \rightarrow aC$$

$$D \rightarrow b$$

- ▶  $C$  is not deriving any terminal,  $C$  is not deriving any terminal  $\implies$  useless variable,  $\therefore$  remove  $C$

$$S \rightarrow AB, A \rightarrow a|B, B \rightarrow b, D \rightarrow b$$

$$D \text{ is not included in } S \implies \text{remove } D$$

$$\therefore S \rightarrow AB, A \rightarrow a|B, B \rightarrow b$$

# Eliminating Unit Productions

- ▶ Production of the form  $A \rightarrow B$  is known as **Unit Production**
- ▶ **Example:**  $S \rightarrow A, A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow a$   
appears as chainlike process  
Instead  $S \rightarrow a$  will serve the purpose  
 $\therefore$  required grammar is  $S \rightarrow a$



# Eliminating Unit Productions

- ▶ **Example:**  $S \rightarrow Aa|B$ ,  $B \rightarrow A|bb$ ,  $A \rightarrow a|bc|B$

Starting from last to first

$A \rightarrow B$  is a unit production, replace  $B$  by its R.H.S.

$A \rightarrow a|bc|A|bb$

$B \rightarrow a|bc|A|bb$

$S \rightarrow Aa|a|bc|A|bb$

Now, remove unit productions

$A \rightarrow a|bc|bb$

$B \rightarrow a|bc|bb$

$S \rightarrow Aa|bc|a|bb$

Now,  $S$  does not has  $B$ ,  $\implies$  remove  $B$

$S \rightarrow Aa|bc|a|bb$

$A \rightarrow a|bc|bb$

# Eliminating Null Productions

- ▶ Any production of the form  $A \rightarrow \epsilon$  is called **Null Production**.
- ▶ **Example:**  $S \rightarrow aAb$ ,  $A \rightarrow aAb|\epsilon$   
Replace  $A$  with  $\epsilon$  in each production containing  $A$  and add it to grammar without  $\epsilon$   
 $S \rightarrow aAb|ab$   
 $A \rightarrow aAb|ab$

# Simplifying CFG

- ▶ **Example:** Construct reduced grammar equivalent to grammar  $G$  whose productions are:

$S \rightarrow AB|CA, B \rightarrow BC|AB, A \rightarrow a, C \rightarrow aB|b$

Here,  $B$  is not deriving any terminals  $\therefore$  remove  $B$

$S \rightarrow CA, A \rightarrow a, C \rightarrow b$

$\therefore$  New Grammar  $G' = (\{S, A, C\}, \{a, b\}, P, S)$

- ▶ **Question:** Find the reduced grammar equivalent to  $G$ .

$S \rightarrow aAa, A \rightarrow bBB, B \rightarrow ab, C \rightarrow aB$

# Normal forms of CFG

- ▶ Chomsky Normal Form
- ▶ Greibach Normal Form

# Chomsky Normal Form

A CFG is in Chomsky normal form if all productions are of the form:

$A \rightarrow BC$  or  $A \rightarrow a$  and  $S \rightarrow \Lambda$  if  $\Lambda \in L(G)$

where  $A, B, C$  are variables and  $a$  is a terminal. When  $\Lambda$  is in  $L(G)$ , we assume that  $S$  does not appear on the R.H.S. of any production.

- ▶ **Example:** The Grammar in the form:  
 $S \rightarrow AS|a, A \rightarrow SA|b$
- ▶ Reduction to Chomsky normal form
  1. Elimination of null productions and unit productions
  2. Elimination of terminals on R.H.S.
  3. Restricting the number of variables on R.H.S.

# Chomsky Normal Form

- ▶ **Example:** Convert the grammar  $G$  with Productions as:  
 $S \rightarrow ABa$ ,  $A \rightarrow aab$ ,  $B \rightarrow Ac$  to chomsky normal form

Let us assume few new variables:

$X \rightarrow a$ ,  $Y \rightarrow b$ ,  $Z \rightarrow c$

gives  $S \rightarrow ABX$ ,  $A \rightarrow XXY$ ,  $B \rightarrow AZ$

Now, Assuming  $Q \rightarrow XX$ ,  $P \rightarrow AB$

gives,  $S \rightarrow PX$ ,  $A \rightarrow QY$ ,  $B \rightarrow AZ$ ,  $X \rightarrow a$ ,  $Y \rightarrow b$ ,  $Z \rightarrow c$ ,  
 $Q \rightarrow XX$ ,  $P \rightarrow AB$

- ▶ Convert the given grammar to CNF
  - ▶  $S \rightarrow aSb \mid ab$
  - ▶  $S \rightarrow aAB \mid Bb$   
 $A \rightarrow a$ ,  $B \rightarrow b$
  - ▶  $S \rightarrow bA \mid aB$   
 $A \rightarrow bAA \mid aS \mid a$   
 $B \rightarrow aBB \mid bS \mid b$

# Greibach Normal Form

- ▶ A CFG is said to be in Greibach Normal form, if all the productions have the form  $A \rightarrow aX$  (or  $A \rightarrow a$  when  $X$  is  $\wedge$ ), where  $a \in \Sigma$  and  $X \in V^*$  ( $X$  may be  $\wedge$ ) and  $S \rightarrow \wedge$  if  $\wedge \in L(G)$  and  $S$  does not appear on the R.H.S. of any production
- ▶ **Example:**  $S \rightarrow aAB|bBB|bB|a$   
 $A \rightarrow aA|bB|b, B \rightarrow b$

## Lemma 1:

Let  $G = (V, \Sigma, P, S)$  be a CFG. Let  $A \rightarrow B\gamma$  be an  $A$ -production in  $P$ . Let the  $B$ -productions be  $B \rightarrow \beta_1|\beta_2|\dots|\beta_k$ . Define  $P_1 = (P - \{A \rightarrow B\gamma\}) \cup \{A \rightarrow \beta_i\gamma | 1 \leq i \leq k\}$ . Then  $G_1 = (V, \Sigma, P_1, S)$  is a context-free grammar equivalent to  $G$ .

## Lemma 2:

## Greibach Normal Form

Let  $G = (V, \Sigma, P, S)$  be a CFG. Let the set of  $A$ -productions be  $A \rightarrow A\alpha_1|A\alpha_2|\dots|A\alpha_r|\beta_1|\beta_2|\dots|\beta_s|$  ( $\beta_i$ 's do not start with  $A$ ). Let  $Z$  be a new variable. Let  $G_1 = (V \cup \{Z\}, \Sigma, P_1, S)$ , where  $P_1$  is defined as follows:

1. The set of  $A$ -productions in  $P_1$  are

$$A \rightarrow \beta_1|\beta_2|\dots|\beta_s$$

$$A \rightarrow \beta_1Z|\beta_2Z|\dots|\beta_sZ$$

2. The set of  $Z$ -productions in  $P_1$  are

$$Z \rightarrow \alpha_1|\alpha_2|\dots|\alpha_r$$

$$Z \rightarrow \alpha_1Z|\alpha_2Z|\dots|\alpha_rZ$$

3. The productions for the other variables are as in  $P$ .

Then  $G_1$  is a CFG and equivalent to  $G$ .



# Greibach Normal Form

- ▶ Reduction to Greibach normal form
  1. Elimination of null productions and unit productions
  2. Elimination of terminals on R.H.S. except the first leftmost terminal.
  3. Make all production starting with a terminal if not.

- ▶ **Example:** Convert the grammar  $G$  into equivalent GNF:

$$S \rightarrow abSb|aa$$

**Solution:** Let  $A \rightarrow a$ ,  $B \rightarrow b$

$$\therefore S \rightarrow aBSB|aA, A \rightarrow a, B \rightarrow b$$

- ▶ Convert the grammar  $S \rightarrow ab|aS|aaS$  into GNF

**Solution:** Let  $B \rightarrow b$ ,  $A \rightarrow a$ ,  $S \rightarrow aB|aS|aAS$

- ▶ **Exercise:**

1. Convert the grammar  $S \rightarrow AA|a, A \rightarrow SS|b$  into GNF.
2. Convert the grammar  $S \rightarrow AB, A \rightarrow BS|b, B \rightarrow SA|a$  into GNF.
3. Convert the grammar  $E \rightarrow E + T|T, T \rightarrow T * F|F, F \rightarrow (E)|a$  into GNF.

# Pumping Lemma for Context Free Language

Let  $L$  be an infinite context free language. Then, there exists some positive integer  $n$  such that:

1. Every  $z \in L$  with  $|z| \geq n$  can be written as  $uvwxy$  for some strings  $u, v, w, x, y$ .
2.  $|vx| \geq 1$
3.  $|vwx| \leq n$
4.  $uv^kwx^ky \in L$  for all  $k \geq 0$

**Application:** We use the pumping lemma to show that a language  $L$  is not a context free language.

**Procedure:** We assume that  $L$  is context-free. By applying the pumping lemma we get a contradiction. The procedure can be carried out by using the following steps:

**Step 1** Assume  $L$  is context-free. Let  $n$  be the natural number obtained by using the pumping lemma.

# Pumping Lemma for Context Free Language

**Step 2** Choose  $z \in L$  so that  $|z| \geq n$ . Write  $z = uvwxy$  using the pumping lemma.

**Step 3** Find a suitable  $k$  so that  $uv^kwx^ky \notin L$ . This is a contradiction, and so  $L$  is not context-free.

# Pumping Lemma for Context Free Language

**Ques:** Show that the language  
 $L = \{a^n b^n c^n : n \geq 0\}$  is not context free.

**Solution:** Let  $L$  be Context free

Let  $w$  be a string in  $L$

For  $n=4$ , string becomes  $aaaabbbbcccc$

By Pumping Lemma,  $w = uvxyz$

$w = aaaabbbbcccc$

Case 1: If  $vxy$  contain only  $a, b$  or  $c$ , then on pumping. It won't be in  $L$

Case 2: If string contains any two either  $ab$  or  $bc$ , then pumped string will contain  $a^k b^l c^m$  with  $k \neq l \neq m$  or it will not be in the order, so does not belong to  $L$

$\therefore L$  is not context free.

► Show that following  $L$  are not Context free

1.  $L = \{ww : w \in \{a, b\}^*\}$
2.  $L = \{a^n b^j : n = j^2\}$
3.  $L = \{a^{n!} : n \geq 0\}$

# Pumping Lemma for Context Free Language

**Ques:** Show that  $L = \{a^p | p \text{ is a prime} \}$  is not a context-free language

**Solution:**

1. Let  $L$  be Context free, Let  $w$  be a string in  $L$
2. Let  $n$  be the natural number obtained by using the pumping lemma.
3. Let  $p$  be a prime number greater than  $n$ , Then  $z = a^p \in L$ . We can write  $z = uvwxy$ .
4. Prove for some  $k$  that  $uv^kwx^ky \notin L$  means  $|uv^kwx^ky|$  is not prime.
5. By pumping lemma,  $uv^0wx^0y = uwy \in L$ . So  $|uxy|$  is a prime number, say  $q$ .
6. Let  $|vx| = r$ . Then,  $|uv^qwx^qy| = q + qr$ .
7. As  $q(1 + r)$  is not a prime, means  $uv^qwx^qy \notin L$ .
8. This is a contradiction. Therefore,  $L$  is not context-free.

# Pumping Lemma for Context Free Language

► Show that following  $L$  are not Context free

1.  $L = \{ww : w \in \{a, b\}^*\}$

2.  $L = \{a^n b^j : n = j^2\}$

3.  $L = \{a^{n!} : n \geq 0\}$

# Decision Algorithms

Some decision algorithms for context-free languages and regular sets.

1. Algorithm for deciding whether a context free language  $L$  is empty.
2. Algorithm for deciding whether a context-free language  $L$  is finite.
3. Algorithm for deciding whether a regular language  $L$  is empty.
4. Algorithm for deciding whether a regular language  $L$  is infinite.

# Linear Grammar

- ▶ A grammar in which atmost one variable can occur on right side of any production without restriction on the size of this grammar, is known as **Linear Grammar**.
- ▶ **Right Linear Grammar**- A grammar  $G = (V, T, P, S)$  is said to be right linear, if all the productions are of the form:  
 $A \rightarrow xB, A \rightarrow x$   
where  $A, B \in V, x \in T^*$
- ▶ **Left Linear Grammar**- A grammar is said to be left linear if all the productions are of the form:  
 $A \rightarrow Bx, A \rightarrow x$   
where  $A, B \in V, x \in T^*$
- ▶ **Linear Grammar**- A grammar is said to be linear grammar if all the productions are of the form:  
 $A \rightarrow vBw, A \rightarrow w$   
where  $A, B \in V; v, w \in T^*$



# Linear Grammar

- ▶ A **regular grammar** is always linear but not all linear grammars are regular.
- ▶ A **regular grammar** is one that is either right linear or left linear
- ▶ In a **regular grammar**, at most one variable appears on right side of any production. Further, that variable must consistently be either on rightmost or leftmost symbol of right side of any production.
- ▶ **Example:**  $G_1 = (\{S\}, \{a, b\}, P_1, S)$  where  $P_1$  given as  $S \rightarrow abS | a$  is **right linear**.
- ▶ **Example:**  $G_2 = (\{S, S_1, S_2\}, \{a, b\}, P_2, S)$  with productions  $S \rightarrow S_1ab, S_1 \rightarrow S_1ab, S_1 \rightarrow S_2, S_2 \rightarrow a$  is **left linear**

# Linear Grammar

- ▶  $G = (\{S, A, B\}, \{a, b\}, P, S)$  with productions  
 $S \rightarrow A, A \rightarrow aB | \Lambda, B \rightarrow Ab$  is not regular  
∴ even if each production is right linear or left linear, but  
grammar itself is neither right linear nor left linear ∴ **not  
regular**

# Linear Grammar

1. Construct a finite automata that accepts the language generated by grammar  
 $V_0 \rightarrow aV_1, V_1 \rightarrow abV_0 \mid b$
2. Construct a right linear grammar for  $L(aab^*a)$
3. Convert the following regular expression into equivalent regular grammar
  - ▶  $(a + b)^*a$
  - ▶  $a^*+b+b^*$

# Pushdown Automata

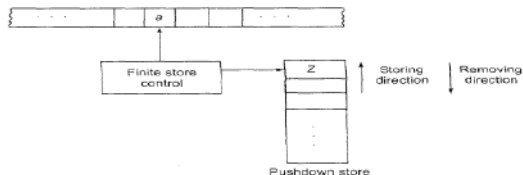


Figure: Model of Pushdown Automata

- ▶ A **Non-deterministic Pushdown Automata** is a 7-tuple  $(Q, \Sigma, \tau, \delta, q_0, Z_0, F)$   
where ,  $Q$  = finite non-empty set of states  
 $\Sigma$  = finite non-empty set of input symbols  
 $\tau$  = finite non-empty set of pushdown symbols  
 $q_0$  = initial state  
 $Z_0$  = initial symbol on pushdown store  
 $F$  = set of final states  
 $\delta \implies Q \times (\Sigma \cup \{\wedge\}) \times \tau \rightarrow Q \times \tau^*$

# Pushdown Automata

$$\delta \implies Q \times (\Sigma \cup \{\wedge\}) \times \tau \rightarrow Q \times \tau^*$$

- ▶ Each move of the control unit is determined by the current input symbol as well as by the symbol currently on the top of the stack.
- ▶ The result of the move is a new state of control unit and a change in the top of the stack.

**Instantaneous Description (ID)** Let  $A = (Q, \Sigma, \tau, \delta, q_0, Z_0, F)$  be a pda. An instantaneous description (ID) is  $(q, w, \alpha)$ , where  $q \in Q$ ,  $w \in \Sigma^*$  and  $\alpha \in \tau^*$ .

- ▶ An initial ID is  $(q_0, w, Z_0)$ . This means that initially the pda is in the initial state  $q_0$ , the input string to be processed is  $w$  and the PDS has only one symbol, namely  $Z_0$ .
- ▶ In an ID  $(q, \wedge, Z)$ , In this case the pda makes a  $\wedge$ -move.

# Pushdown Automata

- ▶ A move relation, denoted by  $\vdash$  between IDs is defined as

$$(q, a_1 a_2 \dots a_n, Z_1 Z_2 \dots Z_m) \vdash (q', a_2 \dots a_n, \beta Z_2 \dots Z_m)$$

if  $\delta(q, a_1, Z_1) = (q', \beta)$

- ▶ if  $(q_1, x, \alpha) \vdash^* (q_2, \wedge, \beta)$  then for every  $y \in \Sigma^*$ ,  
 $(q_1, xy, \alpha) \vdash^* (q_2, y, \beta)$
- ▶ Conversely, if  $(q_1, xy, \alpha) \vdash^* (q_2, y, \beta)$  for some  $y \in \Sigma^*$ , then  
 $(q_1, x, \alpha) \vdash^* (q_2, \wedge, \beta)$
- ▶ if  $(q_1, x, \alpha) \vdash^* (q_2, \wedge, \beta)$  then for every  $\gamma \in \tau^*$ ,  
 $(q_1, x, \alpha\gamma) \vdash^* (q_2, \wedge, \beta\gamma)$

## NPDA: Example

- ▶ Consider a NPDA as under:

$$Q = \{q_0, q_1, q_2, q_3\}, \Sigma = \{a, b\}$$

$$\tau = \{a, Z_0\}, Z_0, F = \{q_3\} \text{ and}$$

$$\delta(q_0, a, Z_0) = (q_0, aZ_0)$$

$$\delta(q_0, a, a) = (q_0, aa)$$

$$\delta(q_0, b, a) = (q_1, \wedge)$$

$$\delta(q_1, b, a) = (q_1, \wedge)$$

$$\delta(q_1, \wedge, Z_0) = (q_f, Z_0)$$

What can we say about the action of this automaton?

# Language accepted by a PDA

Acceptance of input strings by pda is of two way:

- 1 Acceptance by Final State
- 2 Acceptance by Null Store

Let  $M = (Q, \Sigma, \tau, \delta, q_0, Z_0, F)$  be a non-deterministic push-down automata. The language accepted by  $M$  is the set

$$L(M) = \{w \in \Sigma^* \mid (q_0, w, Z_0) \vdash_M^* (q', \Lambda, \alpha)\}$$

where  $q' \in F$  and  $\alpha \in \tau^*$

**Example:** Construct a NPDA for the language

$$L = \{w \in \{a, b\}^* \mid n_a(w) = n_b(w)\}$$

**Solution:**  $Q = \{q_0, q_f\}, \Sigma = \{a, b\}, \tau = \{a, b, Z\}, F = \{q_f\}$

Let  $M = \{Q, \Sigma, \tau, \delta, q_0, Z, F\}$

$$\delta(q_0, a, Z) = (q_0, aZ)$$

$$\delta(q_0, b, Z) = (q_0, bZ)$$

$$\delta(q_0, a, a) = (q_0, aa)$$

$$\delta(q_0, b, b) = (q_0, bb)$$

$$\delta(q_0, a, b) = (q_0, \Lambda)$$



## Language accepted by a PDA

$$\delta(q_0, b, a) = (q_0, \Lambda)$$

$$\delta(q_0, \Lambda, Z) = (q_f, Z)$$

Let us assume  $w = baab$  to process

$$(q_0, baab, Z) \vdash$$

$$(q_0, aab, bZ) \vdash$$

$$(q_0, ab, Z) \vdash$$

$$(q_0, b, aZ) \vdash$$

$$(q_0, \Lambda, Z) \vdash$$

$$(q_f, \Lambda, Z)$$

## Language accepted by PDA

Let  $A = (Q, \Sigma, \tau, \delta, q_0, Z_0, F)$  be a non-deterministic push-down automata. The language accepted by null store or empty store  $A$  is the set  $N(A) = \{w \in \Sigma^* \mid (q_0, w, Z_0) \vdash_A^* (q, \Lambda, \Lambda)\}$  where  $q \in Q$

### Theorem

If  $A = (Q, \Sigma, \tau, \delta, q_0, Z_0, F)$  is a pda accepting  $L$  by empty store. we can find a pda  $B = (Q', \Sigma, \tau', \delta', q'_0, Z_0, F')$  accepting  $L$  by final state: i.e.  $L = N(A) = T(B)$ .

### Theorem

If  $A = (Q, \Sigma, \tau, \delta, q_0, Z_0, F)$  accepts  $L$  by final state, we can find a pda  $B$  accepting  $L$  by empty store: i.e.  $L = T(A) = N(B)$ .

## Language accepted by PDA

**Question:** Construct a NPDA for accepting the language

$$L = \{ww^R : w \in \{a, b\}^+\}$$

**Solution:**  $M = (Q, \Sigma, \tau, \delta, q_0, z, F)$

where  $Q = \{q_0, q_1, q_2\}$ ,  $\Sigma = \{a, b\}$

$\tau = \{a, b, z\}$ ,  $F = \{q_2\}$

$\delta(q_0, a, a) = (q_0, aa)$ ,  $\delta(q_0, b, a) = (q_0, ba)$

$\delta(q_0, a, b) = (q_0, ab)$ ,  $\delta(q_0, b, b) = (q_0, bb)$

$\delta(q_0, a, z) = (q_0, az)$ ,  $\delta(q_0, b, z) = (q_0, bz)$

For middle:

$\delta(q_0, \Lambda, a) = (q_1, a)$ ,  $\delta(q_0, \Lambda, b) = (q_1, b)$

For matching  $w^R$  against contents of stack

$\delta(q_1, a, a) = (q_1, \Lambda)$ ,  $\delta(q_1, b, b) = (q_1, \Lambda)$

Finally,  $\delta(q_1, \Lambda, z) = (q_2, z)$

Let the String assumed be 'abba':

$(q_0, abba, z) \vdash (q_0, bba, az) \vdash (q_0, ba, baz) \vdash (q_1, ba, baz)$

$\vdash (q_1, a, az) \vdash (q_1, \Lambda, z) \vdash (q_2, z)$

## Language accepted by a PDA

1. Construct a NPDA that accepts the language  
 $L = \{a^n b^m : n \geq 0, n \neq m\}$
2. Find NPDA on  $\Sigma = \{a, b, c\}$  that accepts the language  
 $L = \{w_1 c w_2 : w_1, w_2 \in \{a, b\}^*, w_1 \neq w_2^R\}$

# CFG to PDA

## Theorem

If  $L$  is a context-free language, then we can construct a pda  $A$  accepting  $L$  by empty store, i.e.  $L = N(A)$ .

**Construction of pda  $A$**  Let  $L = L(G)$ , where  $G = (V, \Sigma, P, S)$  is a context-free grammar. We construct a pda  $A$  as  $A = (\{q\}, \Sigma, V \cup \Sigma, \delta, q, S, \phi)$ , where  $\delta$  is defined by the following rules:

$R_1$  For every  $A \rightarrow \alpha$  in  $P$ ,  $\delta(q, \wedge, A) = \{(q, \alpha)\}$

$R_2$  For every  $a$  in  $\Sigma$ ,  $\delta(q, a, a) = \{(q, \wedge)\}$

## CFG to PDA

- ▶ Construct a PDA that accepts the language generated by the grammar with productions

$$S \rightarrow aSA|a, A \rightarrow bB, B \rightarrow b$$

**Solution:** Step-1 The given productions are:

$$S \rightarrow aSA|a$$

$$A \rightarrow bB$$

$$B \rightarrow b$$

$\delta$  is defined by the following rules:

**S-productions**

$$\delta(q, \wedge, S) = \{(q, aSA), (q, a)\}$$

**A-productions**

$$\delta(q, \wedge, A) = \{(q, bB)\}$$

**B-productions**

$$\delta(q, \wedge, B) = \{(q, b)\}$$

**Productions for  $\Sigma$**

$$\delta(q, a, a) = \{(q, \wedge)\}$$

## CFG to PDA

$$\delta(q, b, b) = \{(q, \wedge)\}$$

Appearance of  $\wedge$  on top of stack implies completion of derivation and PDA is put to **final state** by

$$\delta(q, \wedge, Z) = (q_f, \wedge)$$

## CFG to PDA

**Question:** Consider the grammar

$S \rightarrow aA$ ,  $A \rightarrow aABC \mid bB \mid a$ ,  $B \rightarrow b$ ,  $C \rightarrow c$

**Solution:** Putting Start Symbol on stack

$\delta(q_0, \Lambda, Z) = (q_1, SZ)$

**Final Production:**  $\delta(q_1, \Lambda, Z) = (q_f, Z)$

Now, according to the productions

$\delta(q_1, a, S) = (q_1, A)$ ,  $\delta(q_1, a, A) = (q_1, ABC)$ ,

$\delta(q_1, b, A) = (q_1, B)$ ,  $\delta(q_1, a, A) = (q_1, \Lambda)$ ,  $\delta(q_1, b, B) = (q_1, \Lambda)$ ,

$\delta(q_1, c, C) = (q_1, \Lambda)$

Let the derivation be

$S \rightarrow aA \rightarrow aa\mathbf{ABC} \rightarrow aaaBC \rightarrow aaabC \rightarrow aaabc$

Therefore, the sequence of moves by M for the processing of "aaabc" is:

$(q_0, aaabc, Z) \vdash (q_1, aaabc, SZ) \vdash (q_1, aabc, AZ)$

$\vdash (q_1, abc, ABCZ) \vdash (q_1, bc, BCZ) \vdash (q_1, c, CZ) \vdash (q_1, \Lambda, Z)$

$\vdash (q_f, \Lambda, Z)$



## CFG to PDA

**Question** Construct a PDA 'A' equivalent to the following Context free grammar:

$S \rightarrow 0BB, B \rightarrow 0S \mid 1S \mid 0.$

Test whether 010000 is in  $N(A)$ .

**Solution:** Let  $A = (\{q\}, \{0,1\}, \{S,B,0,1\}, \delta, q, S, \phi)$

$\delta$  is defined by following rules:

$\delta(q, \Lambda, Z) = (q, SZ)$

$\delta(q, 0, S) = (q, BB)$

$\delta(q, 0, B) = (q, S)$

$\delta(q, 1, B) = (q, S)$

$\delta(q, 0, B) = (q, \Lambda)$

$\delta(q, \Lambda, Z) = (q_f, \Lambda)$

Let the derivation be:

$S \rightarrow 0BB \rightarrow 01SB \rightarrow 010BBB \rightarrow 010000$

**Acceptability of 010000:**

$(q, 010000, Z) \vdash (q, 010000, SZ) \vdash (q, 10000, BB) \vdash (q, 0000, SB) \vdash$   
 $(q, 000, BBB) \vdash (q, 00, BB) \vdash (q, 0, B) \vdash (q, \Lambda, Z) \vdash (q_f, \Lambda)$

# PDA to CFG

## Theorem

If  $A = (Q, \Sigma, \tau, \delta, q_0, Z_0, F)$  is a pda then there exists a context-free grammar  $G$  such that  $L(G) = N(A)$ .

## Construction of $G$ for CFG

We define  $G = (V, \Sigma, P, S)$ , where

$V = \{S\} \cup \{[q, Z, q'] \mid q, q' \in Q, Z \in \tau\}$  i.e. any element of  $V$  is either the new symbol  $S$  acting as the start symbol for  $G$  or an ordered triple whose first and third elements are states and the second element is a pushdown symbol. The productions in  $P$  are induced by moves of pda as follows:

$R_1$  S-productions are given by  $S \rightarrow [q_0, Z_0, q]$  for every  $q \in Q$ .

$R_2$  Each transition erasing a pushdown symbol given by  $\delta(q, a, Z) = (q', \Lambda)$  induces the production  $[q, Z, q'] \rightarrow a$

## PDA to CFG

- $R_3$  Each transition not erasing a pushdown symbol giving by  $\delta(q, a, Z) = (q_1, Z_1 Z_2 \dots Z_m)$  induces the production  $[q, Z, q'] \rightarrow a[q_1, Z_1, q_2][q_2, Z_2, q_3] \dots [q_m, Z_m, q']$ , where each of the states  $q', q_2, \dots, q_m$  can be any state in  $Q$

## PDA to CFG

1.  $S \rightarrow [q_0, Z_0, q_i]$
2.  $\delta(q, a, Z) = (q', \Lambda)$   
 $[q, Z, q'] \rightarrow a$
3.  $\delta(q, \Lambda, Z) = (q', \Lambda)$   
 $[q, Z, q'] \rightarrow \Lambda$
4.  $\delta(q, a, Z) = (q', b)$   
 $[q, Z, q_i] \rightarrow a[q', b, q_i]$
5.  $\delta(q, a, Z) = (q', bX)$   
 $[q, Z, q_i] \rightarrow a[q', b, \dots][\dots, X, q_i]$
6.  $\delta(q, a, Z) = (q', bXY)$   
 $[q, Z, q_i] = a[q', b, \dots][\dots, X, \dots][\dots, Y, q_i]$

## PDA to CFG

**Question:** Construct a Context free grammar  $G$  which accepts  $N(A)$ , where  $A = (\{q_0, q_1\}, \{a, b\}, \{Z_0, Z\}, \delta, q_0, Z_0, \phi)$  and  $\delta$  is given by:

$$\delta(q_0, b, Z_0) = (q_0, ZZ_0), \delta(q_0, \Lambda, Z_0) = (q_0, \Lambda)$$

$$\delta(q_0, b, Z) = (q_0, ZZ), \delta(q_0, a, Z) = (q_1, Z)$$

$$\delta(q_1, b, Z) = (q_1, \Lambda), \delta(q_1, a, Z_0) = (q_0, Z_0)$$

**Solution:** Let  $G = (V_N, \{a, b\}, P, S)$

$$V_N = \{S, [q_0, Z_0, q_0], [q_0, Z_0, q_1], [q_1, Z_0, q_0], [q_1, Z_0, q_1], [q_0, Z, q_0], [q_0, Z, q_1], [q_1, Z, q_0], [q_1, Z, q_1]\}$$

The Productions are:

$$\text{Initial: } S \rightarrow [q_0, Z_0, q_0], [q_0, Z_0, q_1]$$

For  $\delta(q_0, b, Z_0) = (q_0, ZZ_0)$

$$[q_0 Z_0 \dots] \rightarrow b[q_0 Z \dots][\dots Z_0 \dots]$$

$$[q_0 Z_0 \dots] \rightarrow b[q_0 Z \dots][\dots Z_0 \dots]$$

$$[q_0 Z_0 \dots] \rightarrow b[q_0 Z \dots][\dots Z_0 \dots]$$

$$[q_0 Z_0 \dots] \rightarrow b[q_0 Z \dots][\dots Z_0 \dots]$$

## PDA to CFG

**Question:** Construct a Context free grammar  $G$  which accepts  $N(A)$ , where  $A = (\{q_0, q_1\}, \{a, b\}, \{Z_0, Z\}, \delta, q_0, Z_0, \phi)$  and  $\delta$  is given by:

$$\delta(q_0, b, Z_0) = (q_0, ZZ_0), \delta(q_0, \Lambda, Z_0) = (q_0, \Lambda)$$

$$\delta(q_0, b, Z) = (q_0, ZZ), \delta(q_0, a, Z) = (q_1, Z)$$

$$\delta(q_1, b, Z) = (q_1, \Lambda), \delta(q_1, a, Z_0) = (q_0, Z_0)$$

**Solution:** Let  $G = (V_N, \{a, b\}, P, S)$

$$V_N = \{S, [q_0, Z_0, q_0], [q_0, Z_0, q_1], [q_1, Z_0, q_0], [q_1, Z_0, q_1], [q_0, Z, q_0], [q_0, Z, q_1], [q_1, Z, q_0], [q_1, Z, q_1]\}$$

The Productions are:

$$\text{Initial: } S \rightarrow [q_0, Z_0, q_0], [q_0, Z_0, q_1]$$

$$\text{For } \delta(q_0, b, Z_0) = (q_0, ZZ_0)$$

$$[q_0 Z_0 q_0] \rightarrow b[q_0 Z q_0][q_0 Z_0 q_0]$$

$$[q_0 Z_0 q_0] \rightarrow b[q_0 Z q_1][q_1 Z_0 q_0]$$

$$[q_0 Z_0 q_1] \rightarrow b[q_0 Z q_0][q_0 Z_0 q_1]$$

$$[q_0 Z_0 q_1] \rightarrow b[q_0 Z q_1][q_1 Z_0 q_1]$$

# PDA to CFG

For  $\delta(q_0, \wedge, Z_0) = (q_0, \wedge)$

$[q_0 Z_0 q_0] \rightarrow \wedge$

$(q_0, b, Z) = (q_0, ZZ)$

$[q_0 Z \dots] \rightarrow b[q_0 Z \dots][\dots Z \dots]$

$[q_0 Z \dots] \rightarrow b[q_0 Z \dots][\dots Z \dots]$

$[q_0 Z \dots] \rightarrow b[q_0 Z \dots][\dots Z \dots]$

$[q_0 Z \dots] \rightarrow b[q_0 Z \dots][\dots Z \dots]$

## PDA to CFG

For  $\delta(q_0, \wedge, Z_0) = (q_0, \wedge)$

$[q_0 Z_0 q_0] \rightarrow \wedge$

$(q_0, b, Z) = (q_0, ZZ)$

$[q_0 Z q_0] \rightarrow b[q_0 Z q_0][q_0 Z q_0]$

$[q_0 Z q_0] \rightarrow b[q_0 Z q_1][q_1 Z q_0]$

$[q_0 Z q_1] \rightarrow b[q_0 Z q_0][q_0 Z q_1]$

$[q_0 Z q_1] \rightarrow b[q_0 Z q_1][q_1 Z q_1]$

For  $(q_0, a, Z) = (q_1, Z)$

$[q_0 Z \dots] \rightarrow a[q_1 Z \dots]$

$[q_0 Z \dots] \rightarrow a[q_1 Z \dots]$



## PDA to CFG

For  $\delta(q_0, \wedge, Z_0) = (q_0, \wedge)$

$[q_0 Z_0 q_0] \rightarrow \wedge$

$(q_0, b, Z) = (q_0, ZZ)$

$[q_0 Z q_0] \rightarrow b[q_0 Z q_0][q_0 Z q_0]$

$[q_0 Z q_0] \rightarrow b[q_0 Z q_1][q_1 Z q_0]$

$[q_0 Z q_1] \rightarrow b[q_0 Z q_0][q_0 Z q_1]$

$[q_0 Z q_1] \rightarrow b[q_0 Z q_1][q_1 Z q_1]$

For  $(q_0, a, Z) = (q_1, Z)$

$[q_0 Z q_0] \rightarrow a[q_1 Z q_0]$

$[q_0 Z q_1] \rightarrow a[q_1 Z q_1]$

For  $\delta(q_1, b, Z) = (q_1, \wedge)$

$[q_1, Z, q_1] \rightarrow b$

For  $\delta(q_1, a, Z_0) \rightarrow (q_0, Z_0)$

$[q_1, Z_0, \dots] \rightarrow a[q_0 Z_0 \dots]$

$[q_1, Z_0, \dots] \rightarrow a[q_0 Z_0 \dots]$

## PDA to CFG

For  $\delta(q_0, \wedge, Z_0) = (q_0, \wedge)$

$[q_0 Z_0 q_0] \rightarrow \wedge$

$(q_0, b, Z) = (q_0, ZZ)$

$[q_0 Z q_0] \rightarrow b[q_0 Z q_0][q_0 Z q_0]$

$[q_0 Z q_0] \rightarrow b[q_0 Z q_1][q_1 Z q_0]$

$[q_0 Z q_1] \rightarrow b[q_0 Z q_0][q_0 Z q_1]$

$[q_0 Z q_1] \rightarrow b[q_0 Z q_1][q_1 Z q_1]$

For  $(q_0, a, Z) = (q_1, Z)$

$[q_0 Z q_0] \rightarrow a[q_1 Z q_0]$

$[q_0 Z q_1] \rightarrow a[q_1 Z q_1]$

For  $\delta(q_1, b, Z) = (q_1, \wedge)$

$[q_1, Z, q_1] \rightarrow b$

For  $\delta(q_1, a, Z_0) \rightarrow (q_0, Z_0)$

$[q_1, Z_0, q_0] \rightarrow a[q_0 Z_0 q_0]$

$[q_1, Z_0, q_1] \rightarrow a[q_0 Z_0 q_1]$

## PDA to CFG

1. Let  $M = (\{q_0, q_1\}, \{a, b\}, \{a, Z_0\}, \delta, q_0, Z_0, \phi)$

where productions are

$$\delta(q_0, a, Z_0) = (q_0, aZ_0)$$

$$\delta(q_0, a, a) = (q_0, aa)$$

$$\delta(q_0, b, a) = (q_1, \wedge)$$

$$\delta(q_1, b, a) = (q_1, \wedge)$$

$$\delta(q_1, \wedge, Z_0) = (q_1, \wedge)$$

Find grammar G.

2. Find a context free grammar that generates the language accepted by NPDA

$M = (\{q_0, q_1\}, \{a, b\}, \{A, Z\}, \delta, q_0, Z, \{q_1\})$  with transitions

$$\delta(q_0, a, Z) = (q_0, AZ)$$

$$\delta(q_0, b, A) = (q_0, AA)$$

$$\delta(q_0, a, A) = (q_1, \wedge)$$

## PDA to CFG

**Example:** Construct a PDA accepting  $\{a^n b^m a^n \mid m, n \geq 1\}$  by null store. Construct the corresponding CFG accepting the same set.

**Solution:** The PDA 'A' accepting  $\{a^n b^m a^n \mid m, n \geq 1\}$  is defined as

$A = (\{q_0, q_1\}, \{a, b\}, \{a, Z_0\}, \delta, q_0, Z_0, \phi)$

where  $\delta$  is defined by:

$\delta(q_0, a, Z_0) = (q_0, aZ_0)$

$\delta(q_0, a, a) = (q_0, aa)$

$\delta(q_0, b, a) = (q_1, a)$

$\delta(q_1, b, a) = (q_1, a)$

$\delta(q_1, a, a) = (q_1, \wedge)$

$\delta(q_1, \wedge, Z_0) = (q_1, \wedge)$

Let the required grammar  $G = (V_N, \{a, b\}, P, S)$

$V_N =$

$(S, [q_0 Z_0 q_0], [q_0 Z_0 q_1], [q_1 Z_0 q_0], [q_1 Z_0 q_1], q_0 a q_0, [q_0 a q_1], [q_1 a q_0], [q_1 a q_1])$

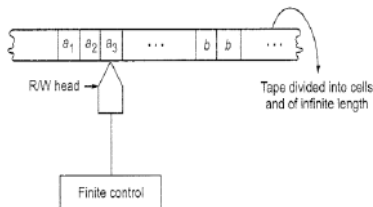
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## Exercise

1. What do you understand by LL(k) grammar? Explain with a suitable example.
2. What do you understand by Parsing? How Top-Down Parsing is different from Bottom-Up Parsing? Explain with suitable example.
3. What is left factoring? How is it different from Left recursion?
4. Construct a PDA accepting the set of all even-length palindromes over  $\{a,b\}$  by the empty store.

# Turing Machine

- ▶ A Turing Machine's storage can be visualized as a single, one dimensional array of cells, each of which can hold a single symbol.
- ▶ This array extends infinitely in both directions.
- ▶ Information can be read and changed in any order, such storage device is called **Tape**.
- ▶ Turing Machine has neither an input file nor any special output mechanism, whatever input and output is required will be done on machine's tape.



# Turing Machine

- ▶ A Turing machine  $M$  is defined by  
 $M = (Q, \Sigma, \tau, \delta, q_0, \square, F)$   
where,  $Q$  = set of internal states  
 $\Sigma$  = Input alphabet;  $\Sigma \subseteq \tau - \{\square\}$   
 $\tau$  = finite set of symbols called tape alphabet  
 $\delta$  = transition function  
 $Q \times \tau \rightarrow Q \times \tau \times \{L, R\}$   
 $\square \in \tau$  = special symbol called blank  
 $q_0 \in Q$  = initial state  
 $F \subseteq Q$  = set of final states

# Turing Machine

- ▶ The current state of the control unit and the current tape symbol being read determines the new state of the control unit and new tape symbol which replaces the old one and move the head L or R.
- ▶  $\delta(q_0, a) = (q_1, b, R)$



- ▶ The acceptability of a string is decided by the reachability from the initial state to some final state. So the final states are also called the accepting states.
- ▶ A Turing machine is said to **halt** whenever it reaches a configuration for which  $\delta$  is not defined.
  - $\implies$  No transitions are defined for any final state, so the Turing machine will halt whenever it enters a final state.



# Turing Machine

- ▶ Consider the Turing machine defined by:  $Q = \{q_0, q_1\}$ ,  $\Sigma = \{0, 1\}$ ,  $\tau = \{0, 1, \square\}$ ,  $F = \{q_1\}$  and

$$\delta(q_0, 0) = (q_0, 1, R)$$

$$\delta(q_0, 1) = (q_0, 0, R)$$

$$\delta(q_0, \square) = (q_1, \square, L)$$

- ▶  $Q = \{q_0, q_1, q_2\}$ ,  $\Sigma = \{a, b\}$ ,  $\tau = \{a, b, \square\}$

Let  $F$  be empty. Define  $\delta$  by:

$$\delta(q_0, a) = (q_1, a, R)$$

$$\delta(q_0, b) = (q_1, a, R)$$

$$\delta(q_0, \square) = (q_1, \square, L)$$

$$\delta(q_1, a) = (q_0, a, L)$$

$$\delta(q_1, b) = (q_0, b, L)$$

$$\delta(q_1, \square) = (q_2, \square, R)$$

# Representation of Turing Machines

Turing machine can be represented by tree ways:

- ▶ Instantaneous Descriptions (ID) using move-relations

- ▶  $\delta(q_1, x_i) = (q_2, y, R)$

- $x_1x_2 \dots q_1x_i \dots x_n \vdash x_1x_2 \dots yq_2x_{i+1} \dots x_n$

- ▶  $\delta(q_1, x_i) = (q_2, y, L)$

- $x_1x_2 \dots q_1x_i \dots x_n \vdash x_1x_2 \dots q_2x_{i-1}y \dots x_n$

- ▶  $\delta(q_1, x_n) = (q_2, y, R)$

- $x_1x_2 \dots q_1x_n \vdash x_1x_2 \dots yq_2\Box$

- Because the tape is of infinite length having  $\Box$ .

- ▶  $\delta(q_1, x_1) = (q_2, y, L)$

- $q_1x_1x_2 \dots x_n \vdash q_2yx_2 \dots x_n$

- Because we prevent the machine from going off the left-hand end of the tape

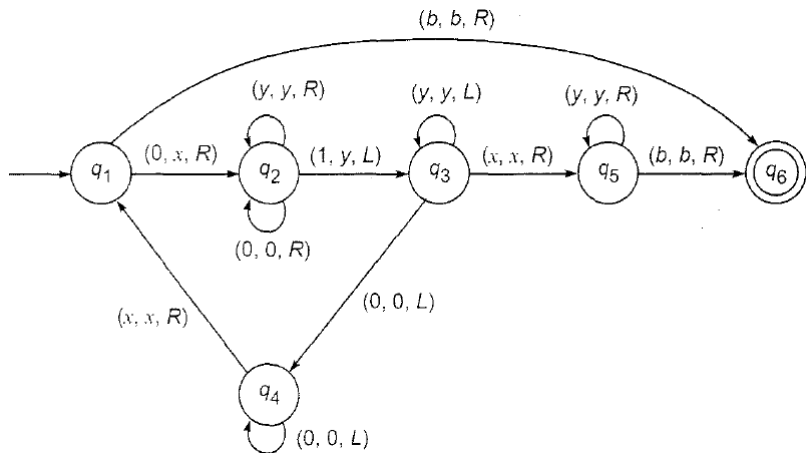
- ▶ Transition table

# Representation of Turing Machines

<i>Present state</i>	<i>Tape symbol</i>		
	<i>b</i>	<i>0</i>	<i>1</i>
$\rightarrow q_1$	$1Lq_2$	$0Rq_1$	
$q_2$	$bRq_3$	$0Lq_2$	$1Lq_2$
$q_3$		$bRq_4$	$bRq_5$
$q_4$	$0Rq_5$	$0Rq_4$	$1Rq_4$
$q_5$	$0Lq_2$		

- ▶ Transition diagram (transition graph)

# Representation of Turing Machines



## Language acceptability of Turing Machine

- ▶ Consider the Turing machine  $M = (Q, \Sigma, \tau, \delta, q_0, \square, F)$ . A string  $w \in \Sigma^*$  is said to be accepted by  $M$  if  $q_0 w \vdash^* \alpha_1 q \alpha_2$  for some  $q \in F$  and  $\alpha_1, \alpha_2 \in \tau^*$
- ▶  $M$  does not accept  $w$  if the machine  $M$  either halts in a non-accepting state or does not halt.
- ▶ There are other equivalent definitions of acceptance by the Turing machine, we will not discuss them now.

# Design of TM

The basic guidelines for designing a Turing machine:

- ▶ The fundamental objective in scanning a symbol by the R/W head is to know what to do in the future. The machine must remember the past symbols scanned. The Turing machine can remember this by going to the next unique state.
- ▶ The number of states must be minimized. This can be achieved by changing the states only when there is a change in the written symbol or when there is a change in the movement of the R/W head.

# Design of Turing Machine

**Question:** For  $\Sigma = \{a,b\}$ , design a Turing machine that accepts

$L = \{a^n b^n : n \geq 1\}$

**Solution:**

- ▶ Start with left most 'a', replace it by 'x'
- ▶ Travel right to find left most 'b', replace it by 'y'.
- ▶ Move left again to find left most 'a', replace by 'x', then again right to left most 'b', replace by 'y'.
- ▶ Continue moving right and left till no 'a' and 'b' remains, then the string must be in L.

$Q = \{q_0, q_1, q_2, q_3, q_4\}$ ,  $F = \{q_4\}$

$\Sigma = \{a, b\}$ ,  $\tau = \{a, b, x, y, \square\}$

$\delta(q_0, a) = (q_1, x, R) \implies$  replaces 'a' by 'x'

$\delta(q_1, a) = (q_1, a, R) \implies$  move right

$\delta(q_1, y) = (q_1, y, R) \implies$  move right

$\delta(q_1, b) = (q_2, y, L) \implies$  'a' paired with 'b'

Move left to find 'x'

$\delta(q_2, y) = (q_2, y, L) \implies$  move left

$\delta(q_2, a) = (q_2, a, L) \implies$  move left

$\delta(q_2, x) = (q_0, x, R) \implies$  placed at first 'a'

Check for all 'a' and 'b' are replaced

$\delta(q_0, y) = (q_3, y, R)$

$\delta(q_3, y) = (q_3, y, R)$

# Design of Turing Machine

$$\delta(q_3, \square) = (q_4, \square, R)$$

For input 'aabb'

$q_0 a a b b \vdash x q_1 a b b \vdash x a q_1 b b \vdash x q_2 a y b \vdash q_2 x a y b \vdash x q_0 a y b \vdash x x q_1 y b \vdash x x y q_1 b \vdash$   
 $x x q_2 y y \vdash x q_2 x y y \vdash x x q_0 y y \vdash x x y q_3 y \vdash x x y y q_3 \vdash x x y y \square q_4 \square$



# Design of Turing machine

**Question:** Design a Turing Machine that accepts

$$L = \{a^n b^n c^n : n \geq 1\}$$

# Turing Computable

A function 'f' with domain 'D' is said to be Turing computable or just computable, if there exists some Turing machine  $M=(Q, \Sigma, \tau, \delta, q_0, \square, F)$  such that  $q_0 w \vdash_M^* q_f f(w), q_f \in F$  for all  $w \in D$ .

# Turing Computable

## Example

Given two positive integers 'x' and 'y'. Design a Turing machine that computes  $x+y$

**Solution:** Using Unary notation in which any positive integer 'x' is represented by  $w(x) \in \{1\}^+$  such that  $|w(x)|=x$ .

So, the required machine is:  $q_0 w(x)0w(y) \vdash^* q_f w(x+y)0$

**Steps:** Move the separating 0 to right end of  $w(y)$

Let  $M=(Q, \Sigma, \tau, q_0, \square, F)$

$Q=\{q_0, q_1, q_2, q_3, q_4\}$ ,  $F=\{q_4\}$

$\delta(q_0, 1) = (q_0, 1, R)$ ,  $\delta(q_0, 0) = (q_1, 1, R)$ ,  $\delta(q_1, 1) = (q_1, 1, R)$ ,

$\delta(q_1, \square) = (q_2, \square, L)$ ,  $\delta(q_2, 1) = (q_3, 0, L)$ ,  $\delta(q_3, 1) = (q_3, 1, L)$

$\delta(q_3, \square) = (q_4, \square, R)$

**Adding 111 to 11**

$q_0 111011 \vdash 1q_0 11011 \vdash 11q_0 1011 \vdash 111q_0 011 \vdash 1111q_1 11 \vdash \dots$

# Variation of TM

- ▶ Turing Machine with Stationary Head
- ▶ Storage in the State
- ▶ Multiple Track Turing Machine
- ▶ Subroutines
- ▶ Multitape Turing Machines
- ▶ Nondeterministic Turing Machines

# Universal TM

A universal Turing machine is a Turing machine  $T_u$  that works as follows:

- ▶ It is assumed to receive an input string of the form  $e(T)e(z)$ , where  $T$  is an arbitrary TM,  $z$  is a string over the input alphabet of  $T$ , and  $e$  is an encoding function whose values are strings in  $\{0,1\}^*$ . The computation performed by  $T_u$  on this input string satisfies two properties:
  1.  $T_u$  accepts the string  $e(T)e(z)$  if and only if  $T$  accepts  $z$ .
  2. If  $T$  accepts  $z$  and produces output  $y$ , then  $T_u$  produces output  $e(y)$ .

## Encoding Function

We assume that there is an infinite set  $\mathbb{S} = \{a_1, a_2, a_3, \dots\}$  of symbols, where  $a_1 = \Delta = \textit{blank}$ , such that the tape alphabet of every Turing machine  $T$  is a subset of  $\mathbb{S}$ .

If  $T = (Q, \Sigma, \tau, q_0, \delta)$  is a *TM* and  $z$  is a string, we define the strings  $e(T)$  and  $e(z)$  as follows:

- ▶ First we assign numbers to each state, tape symbol, and tape head direction of  $T$ .
- ▶ Each tape symbol, including  $\Delta$ , is an element  $a_i$  of  $\mathbb{S}$ , and it is assigned the number  $n(a_i) = i$ .
- ▶ The accepting state  $h_a$ , the rejecting state  $h_r$ , and the initial state  $q_0$  are assigned the numbers  $n(h_a) = 1$ ,  $n(h_r) = 2$ , and  $n(q_0) = 3$ .
- ▶ The other elements  $q \in Q$  are assigned distinct numbers  $n(q)$ , each at least 4.

## Encoding Function

- ▶ We don't require the numbers to be consecutive, and the order is not important.
- ▶ The three directions  $R$ ,  $L$ , and  $S$  are assigned the numbers  $n(R) = 1$ ,  $n(L) = 2$ , and  $n(S) = 3$
- ▶ For each move  $m$  of  $T$  of the form  $\delta(p, a) = (q, b, D)$

$$e(m) = 1^{n(p)}01^{n(a)}01^{n(q)}01^{n(b)}01^{n(D)}0$$

- ▶ List the moves of  $T$  in any order as  $m_1, \dots, m_k$ . and define  $e(T)$  as:

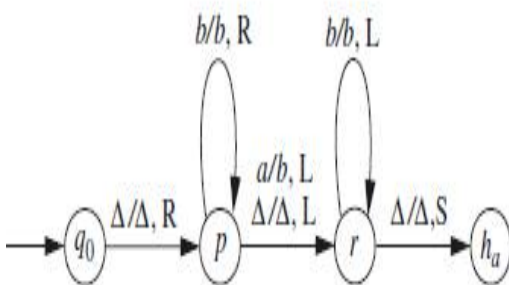
$$e(T) = e(m_1)0e(m_2)0 \dots 0(m_k)0$$

- ▶ If  $z = z_1z_2 \dots z_j$  is a string, where each  $z_i \in \mathbb{S}$

$$e(z) = 01^{n(z_1)}01^{n(z_2)}0 \dots 01^{n(z_j)}0$$

## Encoding Function

- ▶ The input to UTM will be  $e(T)e(z)$
- ▶ Example: Let  $T$  be the TM shown in below figure, which transforms an input string of  $a$ 's and  $b$ 's by changing the leftmost  $a$ , if there is one, to  $b$ .





## Encoding Function

- ▶ Solutions: We assume for simplicity that  $n(a) = 2$  and  $n(b) = 3$ . By definition,  $n(q_0) = 3$ , and we let  $n(p) = 4$  and  $n(r) = 5$ .
- ▶ If  $m$  is the move determined by the formula  $\delta(q_0, \Delta) = (p, \Delta, R)$ , then

$$e(m) = 1^3 0 1 0 1^4 0 1 0 1 0 = 111010111101010$$

- ▶ if we encode the moves in the order they appear in the diagram, left to right

$$\begin{aligned} e(T) &= 111010111010100111101110111101110100 \\ &11110110111110111011001111010111110101100 \\ &11111011101111101110110011111010101011100 \end{aligned}$$

- ▶ Let the string to be checked for acceptance is  $\Delta aab$

$$e(z) = 0101101101110$$

## Encoding Function

- ▶ Input to the UTM is  $e(T)e(z)$   
= 111010111010100111101110111101110100  
11110110111110111011001111010111110101100  
111110111011111011101100111110101010111000101101101110
- ▶ We will use three tapes. The first tape is for input and output and originally contains the string  $e(T)e(z)$ , where  $T$  is a TM and  $z$  is a string over the input alphabet of  $T$ .
- ▶ The second tape will correspond to the working tape of  $T$ , during the computation that simulates the computation of  $T$  on input  $z$ .
- ▶ The third tape will have only the encoded form of  $T$ 's current state.
- ▶  $T_u$  starts by transferring the string  $e(z)$ , except for the initial 0, from the end of tape 1 to tape 2, beginning in square 3.

## Encoding Function

- ▶ Since  $T$  begins with its leftmost square blank,  $T_u$  writes 10, the encoded form of  $\Delta$ , in squares 1 and 2.
- ▶ Square 0 is left blank, and the tape head begins on square 1.
- ▶ The second step is for  $T_u$  to write 111, the encoded form of the initial state  $q_0$ , on tape 3, beginning in square 1.



$\Delta 111010111101010011110111011110111010011110110111110111011$

$\Delta 10110110111\Delta$

$\Delta 111\Delta$

- ▶ Now we simulate the UTM by finding the pattern for state  $q$  from tape 3 followed by code of the  $0e(z_i)0$  from tape 2 under R/W head.
- ▶ When pattern is found, copy 1st part as state on tape 3, replace  $e(z_i)$  by 2nd part from tape 1 and move the R/W head as per the encoded value of direction in part 3 on tape 1.

## Encoding Function

- ▶ We repeat the above two steps until we found state  $h_a = 1$
- ▶ In the last, when  $T$  halt with acceptance means on the 3rd tape  $h_a = 1$ , we erase the contents of 1st tape and copy the encoded output of UTM on 2nd tape to the 1st tape.

# Church-Turing Thesis

To say that the Turing machine is a general model of computation means that any algorithmic procedure that can be carried out at all, by a human computer or a team of humans or an electronic computer, can be carried out by a TM. This statement was first formulated by Alonzo Church in the 1930s and is usually referred to as Church's thesis, or the Church-Turing thesis. It is not a mathematically precise statement that can be proved, because we do not have a precise definition of the term algorithmic procedure. By now, however, there is enough evidence for the thesis to have been generally accepted. Here is an informal summary of some of the evidence.

## Church-Turing Thesis

- ▶ The nature of the model makes it seem likely that all the steps crucial to human computation can be carried out by a TM. Humans normally work with a two-dimensional sheet of paper, and a human computer may perhaps be able to transfer his attention to a location that is not immediately adjacent to the current one, but enhancements like these do not appear to change the types of computation that are possible. A TM tape could be organized so as to simulate two dimensions; one likely consequence would be that the TM would require more moves to do what a human could do in one.
- ▶ Various enhancements of the TM model have been suggested in order to make the operation more like that of a human computer, or more convenient, or more efficient. The multitape TM discussed is an example. In each case, it has been shown that the computing power of the device is unchanged.

# Church-Turing Thesis

- ▶ Other theoretical models of computation have been proposed. These include abstract machines such as the ones with two stacks or with a queue, as well as machines that are more like modern computers. In addition, various notational systems (programming languages, grammars, and other formal mathematical systems) have been suggested as ways of describing or formulating computations. Again, in every case, the model has been shown to be equivalent to the Turing machine.
- ▶ Since the introduction of the Turing machine, no one has suggested any type of computation that ought to be included in the category of “algorithmic procedure” and cannot be implemented on a TM.

## Characteristic Function

For a language  $L \subseteq \Sigma^*$ , the characteristic function of  $L$  is the function  $\chi_L : \Sigma^* \rightarrow \{0, 1\}$  defined by

$$\chi_L(x) = \begin{cases} 1 & \text{if } x \in L \\ 0 & \text{if } x \notin L \end{cases}$$

- ▶ Computing the function  $\chi_L$  and accepting the language  $L$  are two approaches to the question of whether an arbitrary string is in  $L$  or not.
- ▶ A TM computing  $\chi_L$  indicates whether the input string is in  $L$  by producing output 1 or output 0.
- ▶ A TM accepting  $L$  indicates the same thing by accepting or not accepting the input.



# Accepting a Language and Deciding a Language

- ▶ A Turing machine  $T$  with input alphabet  $\Sigma$  accepts a language  $L \subseteq \Sigma^*$  if  $L(T) = L$ .
- ▶  $T$  decides  $L$  if  $T$  computes the characteristic function  $\chi_L : \Sigma^* \rightarrow \{0, 1\}$ .
- ▶ A language  $L$  is recursively enumerable if there is a TM that accepts  $L$ , and  $L$  is recursive if there is a TM that decides  $L$ .
- ▶ Recursively enumerable languages are sometimes referred to as Turing-acceptable, and recursive languages are sometimes called Turing-decidable, or simply decidable.
- ▶ Every recursive language is recursively enumerable.
- ▶ The main difference is that in recursively enumerable language the machine halts for input strings which are in language  $L$ . but for input strings which are not in  $L$ , it may halt or may not halt. When we come to recursive language it always halt whether it is accepted by the machine or not.

## Accepting a Language and Deciding a Language

- ▶ If  $L \subseteq \Sigma^*$  is accepted by a TM  $T$  that halts on every input string, then  $L$  is recursive.
- ▶ If  $L \subseteq \Sigma^*$  is accepted by a TM  $T$  that halts on every input string  $x$  when  $x \in L$  and may or may not halt when  $x \notin L$  then  $L$  is recursively enumerable.

# Unrestricted Grammars

- ▶ The unrestricted grammars correspond to recursively enumerable languages in the same way that CFGs correspond to languages accepted by PDAs and regular grammars to those accepted by DFAs
- ▶ An unrestricted grammar is a 4-tuple  $G = (V, \Sigma, P, S)$ , where  $V$  and  $\Sigma$  are disjoint sets of variables and terminals, respectively.  $S$  is an element of  $V$  called the start symbol, and  $P$  is a set of productions of the form  $\alpha \rightarrow \beta$  where  $\alpha, \beta \in (V \cup \Sigma)^*$  and  $\alpha$  contains at least one variable
- ▶ For every unrestricted grammar  $G$ , there is a Turing machine  $T$  with  $L(T) = L(G)$ .
- ▶ For every Turing machine  $T$  with input alphabet  $\Sigma$ , there is an unrestricted grammar  $G$  generating the language  $L(T) \subseteq \Sigma^*$ .

# Unrestricted Grammars

- ▶ A context-sensitive grammar (CSG) is an unrestricted grammar in which no production is length-decreasing.
- ▶ In other words, every production is of the form  $\alpha \rightarrow \beta$ , where  $|\beta| \geq |\alpha|$ .
- ▶ No variable is allowed in  $\beta$  whose value is null.
- ▶ A language is a context-sensitive language (CSL) if it can be generated by a context-sensitive grammar.
- ▶ **Example** Write the production for the language  $L = \{a^n b^n c^n \mid n \geq 1\}$

# Unrestricted Grammars

- ▶ **Solution:**  $S \rightarrow SABC | \mathbb{A}BC,$   
 $BA \rightarrow AB,$   
 $CA \rightarrow AC,$   
 $CB \rightarrow BC,$   
 $\mathbb{A} \rightarrow a,$   
 $aA \rightarrow aa,$   
 $aB \rightarrow ab,$   
 $bB \rightarrow bb,$   
 $bC \rightarrow bc,$   
 $cC \rightarrow cc.$

# Linear Bounded Automata

- ▶ This model is important because (a) the set of context-sensitive languages is accepted by the model and (b) the infinite storage is restricted in size but not in accessibility to the storage in comparison with the Turing machine model.
- ▶ It is called the linear bounded automaton (LBA) because a linear function is used to restrict (to bound) the length of the tape.
- ▶ A linear bounded automaton is a non-deterministic Turing machine which has a single tape whose length is not infinite but bounded by a linear function of the length of the input string.
- ▶ The models can be described formally by the following set format:  
$$M = (Q, \Sigma, \tau, \delta, q_0, b, \S, \$, F)$$

# Linear Bounded Automata

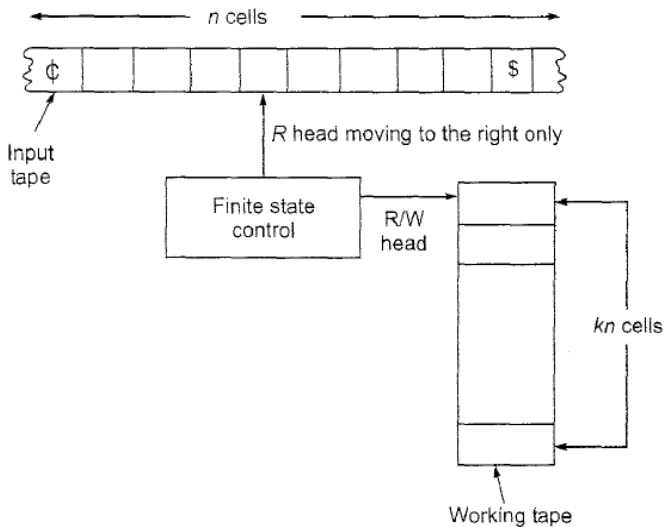
- ▶ All the symbols have the same meaning as in the basic model of Turing machines with the difference that the input alphabet  $\Sigma$  contains two more special symbols  $\S$  and  $\$$  also.
- ▶  $\S$  is called the left-end marker which is entered in the leftmost cell of the input tape and prevents the R/W head from getting off the left end of the tape.
- ▶  $\$$  is called the right-end marker which is entered in the rightmost cell of the input tape and prevents the R/W head from getting off the right end of the tape.
- ▶ Both the end-markers should not appear on any other cell within the input tape, and the R/W head should not print any other symbol over both the end-markers.
- ▶ Let us consider the input string  $w$  with  $|w| = n - 2$ .

# Linear Bounded Automata

- ▶ The input string  $w$  can be recognized by an LBA if it can also be recognized by a Turing machine using no more than  $kn$  cells of input tape, where  $k$  is a constant specified in the description of LBA.
- ▶ The value of  $k$  does not depend on the input string but is purely a property of the machine.
- ▶ Whenever we process any string in LBA, we shall assume that the input string is enclosed within the end-markers  $\S$  and  $\$$ .
- ▶ The model of LBA can be represented by the block below diagram:



# Linear Bounded Automata



# Linear Bounded Automata

- ▶ There are two tapes: one is called the input tape, and the other is working tape.
- ▶ On the input tape the head never prints and never moves to the left.
- ▶ On the working tape the head can move in any direction Left or Right and can modify the contents in any way, without any restriction.
- ▶ In the case of LBA, an ID is denoted by  $(q, w, i)$ , where  $q \in Q$ ,  $w \in \tau$  and  $i$  is some integer between 1 and  $n$ .
- ▶ The transition of IDs is similar except that  $i$  changes to  $i - 1$  if the R/W head moves to the left and to  $i + 1$  if the head moves to the right.

# Linear Bounded Automata

- ▶ The language accepted by LBA is defined as the set

$$\{w \in \{\Sigma - \{\$, \$\}\}^* \mid (q_0, \$w$, 1) \vdash^* (q, \alpha, i)\}$$

for some  $q \in F$  and for some integer  $i$  between 1 and  $n$ ,  
 $\alpha \in \tau^*$ .

- ▶ As a null string can be represented either by the absence of input string or by a completely blank tape, an LBA may accept the null string.
- ▶ A linear bounded automaton  $M$  accepts a string  $w$  if, after starting at the initial state with R/W head reading the left-endmarker,  $M$  halts over the right-endmarker in a final state. Otherwise,  $w$  is rejected
- ▶ The set of strings accepted by non-deterministic LBA is the set of strings generated by the context sensitive grammars, excluding the null strings.

# Linear Bounded Automata

- ▶ If  $L$  is a context-sensitive language, then  $L$  is accepted by a linear bounded automaton and vice versa.
- ▶ **Exercise;** Design the LBA for the language  $L = \{a^n b^n c^n \mid n \geq 1\}$

## Construction of Grammar Corresponding to TM

- ▶ For understanding the construction. we have to note that a transition of ID corresponds to a production.
- ▶ We enclose IDs within brackets. So acceptance of  $w$  by  $M$  corresponds to the transformation of initial ID  $[q_0, \xi w \$]$  into  $[q_f b]$ .
- ▶ Also, the 'length' of ID may change if the R/W head reaches the left-end or the right-end, i.e. when the left-hand side or the right-hand side bracket is reached.
- ▶ So we get productions corresponding to transition of IDs with (i) Left move (ii) Right move, and (iii) end-markers.
- ▶ Right move:

# Construction of Grammar Corresponding to TM

1.

$$\delta(q_i, a_j) = (q_l, a_k, R)$$

ID  $a_m q_i a_j a_{m+1} \vdash a_m a_k q_l a_{m+1}$   
leads to the production

$$q_i a_j \rightarrow a_k q_l$$

2. When at the right-end and right move. When the R/W head moves to the right of ], the length increases. That is

$$\delta(q_i, ]) = (q_i, \square, R)$$

ID  $a_m q_i ] \vdash a_m q_i \square ]$

Corresponding to this we have a production

$$q_i ] \rightarrow q_i \square ]$$

for all  $q_i \in Q$

# Construction of Grammar Corresponding to TM

3. When  $\square$  occurs to the left of  $]$ , it can be deleted. This is achieved by the production

$$a_j\square] \rightarrow a_j]$$

for all  $a_j \in \tau$

► **Left move:**

1.

$$\delta(q_i, a_j) = (q_l, a_k, L)$$

ID  $a_m q_i a_j \vdash q_l a_m a_k$  leads to the production

$$a_m q_i a_j \rightarrow q_l a_m a_k$$

for all  $a_m \in \tau$

# Construction of Grammar Corresponding to TM

2. When at the left-end and left move

$$\delta(q_i, a_j) = (q_l, a_k, L)$$

ID  $[q_i a_j \vdash [q_l \square a_k$   
leads to the production

$$[q_i a_j \rightarrow [q_l \square a_k$$

3. When  $\square$  occurs next to the left-bracket, it can be deleted.  
This is achieved by including the production

$$[\square \rightarrow [$$

- **Introduction of end-markers:** For introducing end-markers for the input string, the following productions are included, where  $q_0$  is the initial state and  $q_f$  is the final state:

1.  $a_i \rightarrow [q_0 \S a_i$  for  $a_i \in \tau, a_i \neq \square$
2.  $a_i \rightarrow a_i \$]$  for  $a_i \in \tau, a_i \neq \square$



# Construction of Grammar Corresponding to TM

3. For removing the brackets from  $[q_f \square]$ , we include the production

$$[q_f \square] \rightarrow S$$

- ▶ To get the required grammar, reverse the arrows of the productions obtained above.
- ▶ The productions we get can be called inverse productions.
- ▶ The new grammar is called the generative grammar.

# Construction of Grammar Corresponding to LBA

- ▶ A linear bounded automaton  $M$  accepts a string  $w$  if, after starting at the initial state with R/W head reading the left-end marker,  $M$  halts over the right-end marker in a final state. Otherwise,  $w$  is rejected.
- ▶ The production rules for the generative grammar are constructed as in the case of Turing machines.
- ▶ The following additional productions are needed in the case of LBA:
  1.  $a_i q_f \$ \rightarrow q_f \$$  for all  $a_i \in \tau$
  2.  $\$ q_f \$ \rightarrow \$ q_f$
  3.  $\$ q_f \rightarrow q_f$

**Exercise:** Find the grammar generating the set accepted by a linear bounded automaton  $M$  whose transition table is given below:

# Construction of Grammar Corresponding to LBA

Present state	Tape input symbol			
	⊘	\$	0	1
$\rightarrow q_1$	$\text{⊘R}q_1$		$1Lq_2$	$0Rq_2$
$q_2$	$\text{⊘R}q_4$		$1Rq_3$	$1Lq_1$
$q_3$		$\$Lq_1$	$1Rq_3$	$1Rq_3$
$q_4$		Halt	$0Lq_4$	$0Rq_4$

# CYK Algorithm

- ▶ The algorithm is called the CYK algorithm, after its originators J. Cocke, D. H. Younger, and T. Kasami.
- ▶ The algorithm works only if the grammar is in Chomsky normal form and succeeds by breaking one problem into a sequence of smaller ones in the following way.
- ▶ Assume that we have a grammar  $G = (V, T, S, P)$  in Chomsky normal form and a string  $w = a_1 a_2 \dots a_n$ .
- ▶ Define substrings  $w_{ij} = a_i \dots a_j$
- ▶ Define subsets of  $V$  as  $V_{ij} = \{A \in V : A \Rightarrow^* w_{ij}\}$
- ▶ Clearly,  $w \in L(G)$  if and only if  $S \in V_{1n}$ .
- ▶ To compute  $V_{ij}$ , observe that  $A \in V_{ij}$  if and only if  $G$  contains a production  $A \rightarrow a_i$ .
- ▶ Therefore,  $V_{ij}$  can be computed for all  $1 \leq i \leq n$  by inspection of  $w$  and the productions of the grammar.

# CYK Algorithm

- ▶ To compute  $V_{ij}$  for  $i < j$ ,  $A$  derives  $w_{ij}$  if and only if there is a production  $A \rightarrow BC$ , with  $B \Rightarrow^* w_{ik}$  and  $C \Rightarrow^* w_{k+1j}$  for some  $k$  with  $i \leq k < j$ .

- ▶ In other words,

$$\begin{aligned} V_{ij} &= \cup_{k=i \dots j-1} \{A : A \rightarrow BC, B \in V_{ik}, C \in V_{k+1j}\} \\ &\Rightarrow \{A_1 | A_1 \rightarrow \{V_{ii}\} \{V_{i+1j}\}\} \cup \{A_2 | A_2 \rightarrow \{V_{ii+1}\} \{V_{i+2j}\}\} \cup \\ &\dots \cup \{A_l | A_l \rightarrow \{V_{ik}\} \{V_{k+1j}\}\} \cup \dots \cup \{A_{n-1} | A_{n-1} \rightarrow \\ &\{V_{ij-2}\} \{V_{j-1j}\}\} \cup \{A_n | A_n \rightarrow \{V_{ij-1}\} \{V_{jj}\}\} \end{aligned}$$

- ▶ Compute all the  $V_{ij}$  using the above eq. as:

1. Compute  $V_{11}, V_{22}, \dots, V_{nn}$
2. Compute  $V_{12}, V_{23}, \dots, V_{n-1n}$
3. Compute  $V_{13}, V_{24}, \dots, V_{n-2n}$
4. ...
5. Compute  $V_{1n}$

- ▶ If  $S \in V_{1n}$  then  $w \in L(G)$  otherwise  $w \notin L(G)$

# CYK Algorithm

**Exercise:** Determine whether the string  $w = aabbb$  is in the language generated by the grammar:

$$S \rightarrow AB$$

$$A \rightarrow BB|a$$

$$B \rightarrow AB|b$$

## Some basic definition

- ▶ When a Turing machine reaches a final state, it halts.
- ▶ We can also say that a Turing machine  $M$  halts when  $M$  reaches a state  $q$  and a current symbol  $a$  to be scanned so that  $\delta(q, a)$  is undefined.
- ▶ There are TMs that never halt on some inputs in any one of these ways.
- ▶ So we make a distinction between the languages accepted by a TM that halts on all input strings and a TM that never halts on some input strings.
- ▶ **Recursively Enumerable:** A language  $L \subseteq \Sigma^*$  is recursively enumerable if there exists a TM  $M$ , such that  $L = T(M)$ .
- ▶ **Recursive:** A language  $L \subseteq \Sigma^*$  is recursive if there exists some TM  $M$  that satisfies the following two conditions:
  1. If  $w \in L$  then  $M$  accepts  $w$ , that is. reaches an accepting state on processing  $w$  and halts.

## Some basic definition

2. If  $w \notin L$  then  $M$  eventually halts, without reaching an accepting state.
- ▶ **Decidable:** A problem with two answers (Yes/No) is decidable if the corresponding language is recursive. In this case, the language  $L$  is also called decidable.
  - ▶ **Undecidable:** A problem/language is undecidable if it is not decidable.
  - ▶ A decidable problem is called a solvable problem and an undecidable problem an unsolvable problem by some authors.
  - ▶  $A_{DFA} = \{(B, w) \mid B \text{ accepts the input string } w\}$
  - ▶  $A_{CFG} = \{(G, w) \mid \text{The context-free grammar } G \text{ accepts the input string } w\}$
  - ▶  $A_{CSG} = \{(G, w) \mid \text{The context-sensitive grammar } G \text{ accepts the input string } w\}$
  - ▶  $A_{TM} = \{(M, w) \mid \text{The TM } M \text{ accepts } w\}$



## Some basic definition

- ▶  $A_{DFA}$  is decidable.
- ▶  $A_{CFG}$  is decidable.
- ▶  $A_{CSG}$  is decidable.
- ▶  $A_{TM}$  is undecidable.

# Turing machine halting Problem

- ▶ The reduction technique is used to prove the undecidability of halting problem of Turing machine
- ▶ We say that problem  $A$  is reducible to problem  $B$  if a solution to problem  $B$  can be used to solve problem  $A$ .
- ▶ If  $A$  is reducible to  $B$  and  $B$  is decidable then  $A$  is decidable. If  $A$  is reducible to  $B$  and  $A$  is undecidable, then  $B$  is undecidable.
- ▶ **Theorem**  $HALT_{TM} = \{(M, w) \mid \text{The Turing machine } M \text{ halts on input } w\}$  is undecidable.  
**Proof:** We assume that  $HALT_{TM}$  is decidable, and get a contradiction. Let  $M_1$  be the TM such that  $T(M_1) = HALT_{TM}$  and let  $M_1$  halt eventually on all  $(M, w)$ . We construct a TM  $M_2$  as follows:
  1. For  $M_2$ ,  $(M, w)$  is an input.
  2. The TM  $M_1$  acts on  $(M, w)$ .
  3. If  $M_1$  rejects  $(M, w)$  then  $M_2$  rejects  $(M, w)$ .

# Turing machine halting Problem

4. If  $M_1$  accepts  $(M, w)$ , simulate the TM  $M$  on the input string  $w$  until  $M$  halts.
  5. If  $M$  has accepted  $w$ ,  $M_2$  accepts  $(M, w)$ ; otherwise  $M_2$  rejects  $(M, w)$ .
- ▶ When  $M_1$  accepts  $(M, w)$  (in step 4), the Turing machine  $M$  halts on  $w$ .
  - ▶ In this case either an accepting state  $q$  or a state  $q'$  such that  $\delta(q', a)$  is undefined till some symbol  $a$  in  $w$  is reached.
  - ▶ In the first case (the first alternative of step 5)  $M_2$  accepts  $(M.w)$ .
  - ▶ In the second case (the second alternative of step 5)  $M_2$  rejects  $(M, w)$ .
  - ▶ It follows from the definition of  $M_2$  that  $M_2$  halts eventually.
  - ▶  $TM_2 = \{(M, w) \mid \text{The Turing machine accepts } w\} = A_{TM}$
  - ▶ This is a contradiction since  $A_{TM}$  is undecidable.

## Post correspondence problems (PCP)

- ▶ The Post Correspondence Problem (PCP) was first introduced by Emil Post in 1946.
- ▶ The problem over an alphabet  $\Sigma$  belongs to a class of yes/no problems and is stated as follows:
- ▶ Consider the two lists  $x = (x_1 \dots x_n), y = (y_1 \dots y_n)$  of non-empty strings over an alphabet  $\Sigma = \{0, 1\}$ .
- ▶ The PCP is to determine whether or not there exist  $i_1, \dots, i_m$ , where  $1 \leq i_j \leq n$  such that

$$x_{i_1} \dots x_{i_m} = y_{i_1} \dots y_{i_m}$$

- ▶ The indices  $i_j$ 's need not be distinct and  $m$  may be greater than  $n$ . Also, if there exists a solution to PCP, there exist infinitely many solutions.

## Modified Post correspondence problems

- ▶ If the first substring used in PCP is always  $x_1$  and  $y_1$  then the PCP is known as the Modified Post Correspondence Problem.

# Partial and Total Functions

- ▶ A **Partial Function**  $f$  from  $X$  to  $Y$  ( $f : X \rightarrow Y$ ) is a rule which assigns to every element of  $X$  at most one element of  $Y$ .
- ▶ **Example:** if  $R$  denotes the set of all real numbers, the rule  $f$  from  $R$  to  $R$  given by  $f(r) = +\sqrt{r}$ ; is a partial function since  $f(r)$  is not defined as a real number when  $r$  is negative.
- ▶ A **Total Function**  $f$  from  $X$  to  $Y$  is a rule which assigns to every element of  $X$  a unique element of  $Y$ .
- ▶ **Example:** The rule  $f$  from  $R$  to  $R$  given by  $f(r) = |r|$  is a total function since  $f(r)$  is defined for every real number  $r$ .
- ▶ We consider total functions  $f$  from  $X^k$  to  $X$ , where  $X = \{0, 1, 2, 3, \dots\}$  or  $X = \{a, b\}^*$ .
- ▶ We denote  $\{0, 1, 2, \dots\}$  by  $N$  and  $\{a, b\}$  by  $\Sigma$ .
- ▶  $X^k$  is the set of all  $k$ -tuples of elements of  $X$ .
- ▶ For example,  $f(m, n) = m - n$  defines a partial function from  $N$  to itself as  $f(m, n)$  is not defined when  $m - n < 0$ .

## Partial and Total Functions

- ▶ But  $g(m, n) = m + n$  defines a total function from  $N$  to itself.
- ▶ A partial or total function  $f$  from  $X^k$  to  $X$  is also called a function of  $k$  variables and denoted by  $f(x_1, x_2, \dots, x_k)$ .
- ▶ For example,  $f(x_1, x_2) = 2x_1 + x_2$  is a function of two variables:  $f(1, 2) = 4$ ; 1 and 2 are called arguments and 4 is called a value.
- ▶  $g(w_1, w_2) = w_1 w_2$  is a function of two variables  $w_1, w_2 \in \Sigma^*$  :  $g(ab, aa) = abaa$ ,  $ab, aa$  are called arguments and  $abaa$  is a value.

# Primitive Recursive functions

- ▶ The **initial functions over  $\mathbb{N}$**  are given as:
  1. **Zero function  $Z$**  defined by  $Z(x) = 0$
  2. **Successor function  $S$**  defined by  $S(x) = x + 1$
  3. **Projection function  $U_i^n$**  defined by  $U_i^n(x_1, \dots, x_n) = x_i$
  4. As  $U_1^1(x) = x$  for every  $x$  in  $\mathbb{N}$ .  $U_1^1$  is simply the identity function. So  $U_i^n$  is also termed a generalized identity function.
- ▶ The **initial functions over  $\Sigma$**  are given as:
  1.  **$nil(x)$**  defined by  $nil(x) = \wedge$
  2.  **$cons\ a(x)$**  defined by  $cons\ a(x) = ax$
  3.  **$cons\ b(x)$**  defined by  $cons\ b(x) = bx$



# Primitive Recursive functions

► Example:

$$Z(7) = 0$$

$$S(4) = 5$$

$$U_2^3\{2, 5, 7\} = 5$$

$$\text{nil}(aabb) = \wedge$$

$$\text{cons } a(aabb) = aaabb$$

$$\text{cons } b(aabb) = baabb$$

## Primitive Recursive functions

- ▶ **Composition of a function:** If  $f_1, f_2, \dots, f_k$  are partial functions of  $n$  variables and  $g$  is a partial function of  $k$  variables, then the composition of  $g$  with  $f_1, f_2, \dots, f_k$  is a partial function of  $n$  variables defined by

$$g(f_1(x_1, x_2, \dots, x_n), f_2(x_1, x_2, \dots, x_n), \dots, f_k(x_1, x_2, \dots, x_n))$$

- ▶ The composition of  $g$  with  $f_1, f_2, \dots, f_n$  is total when  $g, f_1, f_2, \dots, f_n$  are total.
- ▶ **Example:** Let  $f_1(x, y) = x + y$ ,  $f_2(x, y) = 2x$ ,  $f_3(x, y) = xy$  and  $g(x, y, z) = x + y + z$  be functions over  $N$ . Find the composition of  $g$  with  $f_1, f_2, f_3$
- ▶ **Solution:** The composition of  $g$  with  $f_1, f_2, f_3$  is given by
$$h(x, y) = g(f_1(x, y), f_2(x, y), f_3(x, y)) = (x + y) + (2x) + (xy) \\ = x + y + 2x + xy$$

## Primitive Recursive functions

- ▶ A function  $f(x)$  over  $N$  is defined by **recursion** if there exists a constant  $k$  (a natural number) and a function  $h(x, y)$  such that  $f(0) = k, f(n + 1) = h(n, f(n))$
- ▶ **Example:** Define  $n!$  by recursion.
- ▶ **Solution:** Let  $f(0) = 1$  and  $f(n + 1) = h(n, f(n))$ , where  $h(x, y) = S(x) * y$ .  
So  $f(n)$  will be  
$$f(n) = h(n - 1, f(n - 1)) = S(n - 1) * f(n - 1) = n * f(n - 1)$$
- ▶ A function  $f$  of  $n + 1$  variables is defined by **recursion** if there exists a function  $g$  of  $n$  variables, and a function  $h$  of  $n + 2$  variables, and  $f$  is defined as follows:  
$$f(x_1, x_2, \dots, x_n, 0) = g(x_1, x_2, \dots, x_n)$$
$$f(x_1, x_2, \dots, x_n, y + 1) =$$
$$h(x_1, x_2, \dots, x_n, y, f(x_1, x_2, \dots, x_n, y))$$

# Primitive Recursive functions

- ▶ A total function  $f$  over  $N$  is called **primitive recursive**
  - (i) if it is anyone of the three initial functions, or
  - (ii) if it can be obtained by applying composition and recursion a finite number of times to the set of initial functions.
- ▶ A total function is **primitive recursive** if it can be obtained by applying composition and recursion a finite number of times to **primitive recursive functions**  $f_1, f_2, \dots, f_m$ . Each  $f_i$  is obtained by applying composition and recursion a finite number of times to initial functions.

# Primitive Recursive functions

- ▶ A function  $f(x)$  over  $\Sigma$  is defined by **recursion** if there exists a 'constant' string  $w \in \Sigma^*$  and functions  $h_1(x, y)$  and  $h_2(x, y)$  such that

$$f(\wedge) = w$$

$$f(ax) = h_1(x, f(x))$$

$$f(bx) = h_2(x, f(x))$$

$h_1$  and  $h_2$  may be functions in one variable.

# Primitive Recursive functions

- ▶ A function  $f(x_1, x_2, \dots, x_n)$  over  $\Sigma$  is defined by **recursion** if there exists a function  $g(x_1, x_2, \dots, x_{n-1})$ ,  $h_1(x_1, x_2, \dots, x_{n+1})$ ,  $h_2(x_1, x_2, \dots, x_{n+1})$  such that

$$f(\wedge, x_2, \dots, x_n) = g(x_2, \dots, x_n)$$

$$f(ax_1, x_2, \dots, x_n) = h_1(x_1, x_2, \dots, x_n, f(x_1, x_2, \dots, x_n))$$

$$f(bx_1, x_2, \dots, x_n) = h_2(x_1, x_2, \dots, x_n, f(x_1, x_2, \dots, x_n))$$

$h_1$  and  $h_2$  may be functions of  $m$  variables, where  $m < n + 1$ .

- ▶ A total function  $f$  over  $\Sigma$  is **primitive recursive**
  - (i) if it is anyone of the three initial functions, or
  - (ii) if it can be obtained by applying composition and recursion a finite number of times to the initial functions.

## Recursive functions

- ▶ Let  $g(x_1, x_2, \dots, x_n, y)$  be a total function over  $N$ . The function  $g$  is a **regular function** if there exists some natural number  $y_0$  such that  $g(x_1, x_2, \dots, x_n, y_0) = 0$  for all values  $x_1, x_2, \dots, x_n \in N$ .
- ▶ **Example:**  $g(x, y) = \min(x, y)$  is a regular function since  $g(x, 0) = 0$  for all  $x \in N$ .
- ▶ But  $f(x, y) = |x - y|$  is not regular since  $f(x, y) = 0$  only when  $x = y$ , and so we cannot find a fixed  $y$  such that  $f(x, y) = 0$  for all  $x$  in  $N$ .
- ▶ A function  $f(x_1, x_2, \dots, x_n)$  over  $N$  is defined from a total function  $g(x_1, x_2, \dots, x_n, y)$  by **minimization** if
  - $f(x_1, x_2, \dots, x_n)$  is the least value of all  $y$ 's such that  $g(x_1, x_2, \dots, x_n, y) = 0$  if it exists. The least value is denoted by  $\mu_y(g(x_1, x_2, \dots, x_n, y) = 0)$ .
  - $f(x_1, x_2, \dots, x_n)$  is undefined if there is no  $y$  such that  $g(x_1, x_2, \dots, x_n, y) = 0$

## Recursive functions

- ▶ In general,  $f$  is partial. But, if  $g$  is regular then  $f$  is total.
- ▶ A function is **recursive** if it can be obtained from the initial functions by a finite number of applications of composition, recursion and minimization over regular functions.
- ▶ A function is **partial recursive** if it can be obtained from the initial functions by a finite number of applications of composition, recursion and minimization.
- ▶ **Example:** Show that  $f(x) = x/2$  is a partial recursive function over  $N$ .
- ▶ **Solution:** Let  $g(x, y) = |2y - x|$  where  $2y - x = 0$  for some  $y$  only when  $x$  is even. Let  $f_1(x) = \mu_y(|2y - x| = 0)$ . Then  $f_1(x)$  is defined only for even values of  $x$  and is equal to  $x/2$ . When  $x$  is odd,  $f_1(x)$  is not defined  $f_1(x)$  is partial recursive. As  $f(x) = x/2 = f_1(x)$  is a partial recursive function.
- ▶ **Exercise:** Show that  $f(x, y) = x^2y^4 + 7xy^3 + 4y^5$  is primitive recursive.



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